Ymir

Contents

Global properties of complex analytic spaces

1. Introduction

2. Topological properties of complex analytic spaces

Proposition 2.1. Let X be a Hausdorff complex analytic space. Then the following are equivalent:

1. X is paracompact;
2. Each connected component of X is σ-compact;
3. Each connected component of X is Lindelöf;
4. X admits a compact exhaustion.

PROOF. (1) $\Leftrightarrow$ (2): This follows from Proposition 3.2 in Topology and bornology.
(2) $\Leftrightarrow$ (3): This follows from Proposition 5.2 in Topology and bornology.
(3) $\Leftrightarrow$ (4): This follows from Proposition 5.2 in Topology and bornology. □

Lemma 2.2. Let $f : X \to Y$ be a proper surjective morphism of complex analytic spaces. Then the following are equivalent:

1. X is paracompact and Hausdorff;
2. Y is paracompact and Hausdorff.

PROOF. (1) $\implies$ (2): This follows from Theorem 3.3 in Topology and bornology.

(2) $\implies$ (1): We may assume that Y is connected. Then X is Hausdorff as f is separated. By Proposition 2.1, Y is σ-compact. It follows that X is also σ-compact. In particular, each connected component of X is also σ-compact. In particular, X is paracompact. □

3. Holomorphically convex hulls

Definition 3.1. Let X be a complex analytic space and M be a subset of X, we define the *holomorphically convex hull* of M in X as

$$\hat{M}^X := \left\{ x \in X : |f(x)| \leq \sup_{y\in M} |f(y)| \text{ for all } f \in \mathcal{O}_X(X) \right\}.$$

Proposition 3.2. Let X be a complex analytic space and M be a subset of X. Then the following properties hold:

1. $\hat{M}^X$ is closed in X;
2. $M \subseteq \hat{M}^X$ and $\widehat{\hat{M}^X}^X = \hat{M}^X$;
3. If M' is another subset of X containing M, then $\hat{M}^X \subseteq \hat{M'}^X$;
4. If $f : Y \to X$ is a morphism of complex analytic spaces, then

$$\widehat{f^{-1}(M)}^Y \subseteq f^{-1}(\hat{M}^X);$$

(5) If X' is another complex analytic space and M' is a subset of X', then
$$\widehat{M \times M'}^{X \times X'} \subseteq \hat{M}^X \times \hat{M'}^{X'};$$
(6) If M' is another subset of X and $\hat{M}^X = M$, $\hat{M'}^X = M'$, then
$$\widehat{M \cap M'}^X = M \cap M'.$$

Proof. (1), (2), (3), (4), (5) are obvious by definition.
(6) is a consequence of (3). □

Example 3.3. Let Q be a compact cube in $\mathbb{C}^n$ for some $n \in \mathbb{N}$, then $\hat{Q}^{\mathbb{C}^n} = Q$.

In fact, by Proposition 3.2(5), we may assume that $n = 1$. Given $p \in \mathbb{C} \setminus Q$, we can take a closed disk $T \subseteq \mathbb{C}$ centered at $a \in \mathbb{C}$ such that $Q \subseteq T$ while $p \notin T$. Consider $z - a \in \mathcal{O}_{\mathbb{C}}(\mathbb{C})$, then
$$|f(p)| > \sup_{q \in Q} |f(q)|.$$
So $p \notin \hat{Q}^{\mathbb{C}}$.

4. Stones

Definition 4.1. Let X be a complex analytic space. A *stone* in X is a pair (P, π) consisting of

(1) a non-empty compact set P in X and
(2) a morphism $\pi : X \to \mathbb{C}^n$ for some $n \in \mathbb{N}$

such that there is a compact tube Q in $\mathbb{C}^n$ and an open set W in X such that $P = \pi^{-1}(Q) \cap W$.

We call $P^0 := \pi^{-1}(\operatorname{Int} Q) \cap W$ the *analytic interior* of the stone (P, π). It clearly does not depend on the choice of W.

We observe that $\hat{P}^X \cap W = P$. In fact, $P \subseteq \pi^{-1}(Q)$, so
$$\hat{P}^X \subseteq \pi^{-1}(\hat{Q}^{\mathbb{C}^n}) = \pi^{-1}(Q) = P \cap W = P.$$
Here we applied Proposition 3.2 and Example 3.3.

In general, $P^0 \subseteq \operatorname{Int} P$, but they can be different.

Theorem 4.2. Let X be a Hausdorff complex analytic space and $K \subseteq X$ be a compact subset. Then the following are equivalent:

(1) There is an open neighbourhood W of K in X such that $\hat{K}^X \cap W$ is compact;
(2) There is an open relative compact neighbourhood W of K in X such that $\partial W \cap \hat{K} = \emptyset$;
(3) There is a stone (P, π) in X with $K \subseteq P^0$.

Proof. (1) $\implies$ (2): This is trivial, in fact, we may assume that W in (1) is relatively compact in X.

(2) $\implies$ (3): As $\hat{K}^X$ is closed by Proposition 3.2(1) and $\partial W \cap \hat{K}^X = \emptyset$, given $p \in \partial W$, we can find $h \in \mathcal{O}_X(X)$ such that
$$\sup_{x \in K} |h(x)| < 1 < |h(p)|.$$

We will denote the left-hand side by $|h|_K$. Up to raising h to a power, we may assume that

$$\max\{|\operatorname{Re} h(p)|, |\operatorname{Im} h(p)|\} > 1.$$

As ∂W is compact, we can find finitely many sections $h_1, \dots, h_m \in \mathcal{O}_X(X)$ so that

$$\max_{j=1,\dots,m}\{|\operatorname{Re} h_j|_K, |\operatorname{Im} h_j|_K\} < 1, \quad \max_{j=1,\dots,m}\{|\operatorname{Re} h_j(p)|, |\operatorname{Im} h_j(p)|\} > 1.$$

Let

$$Q := \{(z_1, \dots, z_m) \in \mathbb{C}^m : |\operatorname{Re} z_i| \leq 1, |\operatorname{Im} z_i| \leq 1 \text{ for all } i = 1, \dots, m\}.$$

The sections $h_1, \dots, h_m$ defines a homomorphism $\pi : X \to \mathbb{C}^m$ by Theorem 4.2 in The notion of complex analytic spaces. Obviously, $P = \pi^{-1}(Q) \cap W$ satisfies our assumptions.

(3) $\implies$ (1): Let W be the open set as in Definition 4.1. As $\hat{P}^X \cap W = P$ and $K \subseteq P$, we have

$$\hat{K} \cap W \subseteq P \cap W = P.$$

As P is compact, so is $\hat{K} \cap W$. □

Theorem 4.3. Let X be a Hausdorff complex analytic space and $(P, \pi : X \to \mathbb{C}^n)$ be a stone in X. Let Q be the tube in $\mathbb{C}^m$ as in Definition 4.1. Then there are open neighbourhoods U and V of P and Q in X and $\mathbb{C}^n$ respectively with $\pi(U) \subseteq V$ and $P = \pi^{-1}(Q) \cap U$ such that $\pi|_U : U \to V$ is proper.

Proof. Let $W \subseteq X$ be the open set as in Definition 4.1. We may assume that W is relatively compact. Then ∂W and $\pi(\partial W)$ are also compact. As $\partial W \cap \pi^{-1}(Q)$ is empty, we know that $V := \mathbb{C}^n \setminus \pi(\partial W)$ is an open neighbourhood of Q. The set $U := W \cap \pi^{-1}(V) = W \setminus \pi^{-1}(\pi(\partial W))$ is open in X and $\pi(U) \subseteq V$. Observe that $\pi|_U : U \to V$ is proper by Lemma 4.6 in Topology and bornology.

Furthermore,

$$\pi^{-1}(Q) \cap U = \pi^{-1}(Q) \cap \left(W \setminus \left(\pi^{-1}(Q) \cap \pi^{-1}\pi(\partial W)\right)\right).$$

But $\pi^{-1}Q \cap \pi^{-1}\pi(\partial W)$ is empty as $Q \cap \pi(\partial W)$ is. It follows that $\pi^{-1}(Q) \cap U = P$ and hence U is a neighbourhood of P. □

Definition 4.4. Let X be a complex analytic space. Let $(P, \pi : X \to \mathbb{C}^n)$, $(P', \pi' : X \to \mathbb{C}^{n'})$ be two stones on X. We say (P, π) *is contained in* (P', π') if the following conditions are satisfied:

(1) P lies in the analytic interior of P';
(2) $n' \geq n$ and there is $q \in \mathbb{C}^{n'-n}$ such that if $Q \subseteq \mathbb{C}^n$, $\mathbb{Q}' \subseteq \mathbb{C}^{n'}$ be the tubes as in Definition 4.1, then

$$Q \times \{q\} \subseteq Q'.$$

(3) There is a morphism $\varphi : X \to \mathbb{C}^{n'-n}$ such that

$$\pi' = (\pi, \varphi).$$

We formally write $(P, \pi) \subseteq (P', \pi')$ in this case. Clearly, this defines a partial order on the set of stones on X.

Definition 4.5. Let X be a complex analytic space. An *exhaustion of X by stones* is a sequence $(P_i, \pi_i)_{i \in \mathbb{Z}_{>0}}$ of stones such that

(1) $(P_i, \pi_i) \subseteq (P_{i+1}, \pi_{i+1})$ for all $i \in \mathbb{Z}_{>0}$;

(2)

$$X = \bigcup_{i=1}^{\infty} P_i^0.$$

We say X is *weakly holomorphically convex* if it there is an exhaustion of X by stones.

Theorem 4.6. Let X be a Hausdorff complex analytic space. Consider the following conditions:

(1) X is weakly holomorphically convex;
(2) For any compact subset $K \subseteq X$, there is an open set $W \subseteq X$ such that $\hat{K}^X \cap W$ is compact.

Then (1) $\implies$ (2). If X is paracompact, then (2) $\implies$ (1).

PROOF. (1) $\implies$ (2): It suffices to observe that $K \subseteq P_j^0$ when j is large enough and apply Theorem 4.2.

Assume that X is paracompact. (2) $\implies$ (1): Let (K_i) a compact exhaustion of X. We construcct the stones $(P_i, \pi_i)_{i \in \mathbb{Z}_{>0}}$ so that

$$K_i \subseteq P_i^0$$

for all $i \in \mathbb{Z}_{>0}$ inductively. Let P_1 be an arbitrary stone in X such that $K_1 \subseteq P_1^0$. The existence of P_1 is guaranteed by Theorem 4.2.

Assume that we have constructed $(P_{i-1}, \pi_{i-1} : X \to \mathbb{C}^{n_{i-1}})$ for $i \geq 2$. Let $Q_{i-1} \subseteq \mathbb{C}^{n_{i-1}}$ be the associated tube. By Theorem 4.2 again, take a stone $(P_i, \pi_i^* : X \to \mathbb{C}^n)$ with $K_i \cup P_{i-1} \subseteq P_i^0$. Let $Q_i^* \subseteq \mathbb{C}^n$ be the associated tube. Let W be an open subset of X with

$$P_i = \pi_i^{*,-1}(Q_i^*) \cap W.$$

Choose a tube $Q_i' \subseteq \mathbb{C}^{n_{i-1}}$ with $Q_{i-1} \subseteq \operatorname{Int} Q_i'$ so that

$$\pi_{i-1}(P_i) \subseteq \operatorname{Int} Q_i'.$$

Let $\pi_i := (\pi_{i-1}, \pi_i^*) : X \to \mathbb{C}^{n_{i-1}+n}$ and $Q_i := Q_i' \times Q_i^*$. Then (P_i, π_i) is a stone and $(P_{i-1}, \pi_{i-1}) \subseteq (P_i, \pi_i)$. □

5. Holomorphical separable spaces

Definition 5.1. Let X be a complex analytic space. We say X is *holomorphically separable* if for any $x, y \in X$ with $x \neq y$, there is $f \in \mathcal{O}_X(X)$ with $f(x) \neq f(y)$.

Here we regard f as a continuous function $X \to \mathbb{C}$. In particular, a holomorphically separable space is Hausdorff.

Definition 5.2. Let X be a complex analytic space. We say X is *holomorphically convex* if $|X|$ is Hausdorff and for any compact set $K \subseteq X$, $\hat{K}^X$.

We say X is *weakly holomorphically convex* if for any quasi-compact set $K \subseteq X$, the connected components of $\hat{K}^X$ are all quasi-compact.

Proposition 5.3. Let X be a holomorphically convex complex analytic space. Then X^{red} is holomorphically convex.

PROOF. This follows immediately from the definition. □

Proposition 5.4. Let X be a Hausdorff complex analytic space. Consider the following conditions:

(1) X is holomorphically convex;
(2) For any sequence $x_i \in X$ ($i \in \mathbb{Z}_{>0}$) without accumulation points, there is $f \in \mathcal{O}_X(X)$ such that $|f(x_i)|$ is unbounded.

Then (2) $\implies$ (1) if X is paracompact.

Proof. (2) $\implies$ (1): By Proposition 2.1, each connected component of X is Lindelöf. For a Lindelöf Hausdorff space, sequential compactness implies compactness.

□

Corollary 5.5. Let $n \in \mathbb{N}$ and Ω be a domain in $\mathbb{C}^n$. Assume that for each $p \in \partial\Omega$, there is a holomorphic function f on an open neighbourhood U of $\bar{\Omega}$ such that $f(p) = 0$ and f is non-zero on Ω. Then Ω is holomorphically convex.

Proof. Let $x_i \in \Omega$ ($i \in \mathbb{Z}_{>0}$) be a sequence without accumulation points in Ω. We need to construct $f \in \mathcal{O}_\Omega(\Omega)$ such that $(|f(x_i)|)_{i\in\mathbb{Z}_{>0}}$ is unbounded. This is clear if x_i itself is unbounded. Assume that x_i is bounded. Then up to passing to a subsequence, we may assume that $x_i \to p \in \partial\Omega$ as $i \to \infty$. The inverse of the function f in our assumption of the corollary works. □

6. Stein sets

Definition 6.1. Let X be a complex analytic space and P be a closed subset of X. We say P is a *Stein set* in X if for any coherent $\mathcal{O}_U$-module $\mathcal{F}$ for some open neighbourhood U of P in X, we have

$$H^i(P, \mathcal{F}) = 0 \quad \text{for all } i \in \mathbb{Z}_{>0}.$$

A *coherent $\mathcal{O}_P$-module* is a coherent $\mathcal{O}_U$-module for some open neighbourhood U of P in X. Two coherent $\mathcal{O}_P$-modules are isomorphic if there is a small enough open neighbourhood V of P in X such that they are isomorphic when restricted to V. In particular, $\mathcal{O}_P$ denotes the coherent $\mathcal{O}_P$-module defined by $\mathcal{O}_X$ on X.

The germ-wise notions obviously make sense for coherent $\mathcal{O}_P$-modules.

The given condition is usually known as *Cartan's Theorem B*. It implies *Cartan's Theorem A*:

Theorem 6.2 (Cartan's Theorem A)**.** Let X be a complex analytic space and P be a Stein set in X. Let $\mathcal{F}$ be a coherent $\mathcal{O}_U$-module for some open neighbourhood U of P in X. Then $H^0(P, \mathcal{F})$ generates $\mathcal{F}_x$ for each $x \in P$.

Proof. Fix $x \in P$. Let $\mathcal{M}$ be the coherent ideal sheaf on U consisting of holomorphic functions vanishing at x. Then $\mathcal{F}\mathcal{M}$ is a coherent $\mathcal{O}_U$-module. It follows from Theorem B that

$$H^0(P, \mathcal{F}) \to H^0(P, \mathcal{F}/\mathcal{F}\mathcal{M})$$

is surjective. Note that we can identify this map with the natural map

$$H^0(P, \mathcal{F}) \to \mathcal{F}_x/\mathfrak{m}_x\mathcal{F}_x.$$

Let $e_1, \ldots, e_m$ be a basis of $\mathcal{F}_x/\mathfrak{m}_x\mathcal{F}_x$. Lift them to $s_1, \ldots, s_m \in H^0(P, \mathcal{F})$. By Nakayama's lemma, $s_{1x}, \ldots, s_{mx}$ generate the $\mathcal{O}_{X,x}$-module $\mathcal{F}_x$. □

Corollary 6.3. Let X be a complex analytic space and P be a quasi-compact Stein set in X. Let $\mathcal{F}$ be a coherent $\mathcal{O}_P$-module. Then there is $n \in \mathbb{Z}_{>0}$ and an epimorphism

$$\mathcal{O}_P^n \to \mathcal{F}.$$

Proof. By Theorem 6.2, we can find an open covering $\{U_i\}_{i\in I}$ of P such that there are homomorphisms

$$h_i : \mathcal{O}_P^{n_i} \to \mathcal{F}$$

for some $n_i \in \mathbb{Z}_{>0}$, which is surjective on U_i for each $i \in I$. By the quasi-compactness of P, we may assume that I is a finite set. Then it suffices to set $n = \sum_{i\in I} n_i$ and consider the epimorphism $\mathcal{O}_P^n \to \mathcal{F}$ induced by the h_i's. □

Theorem 6.4. Let X be a complex analytic space and $P \subseteq X$ be a set with the following properties:

(1) there is an open neighbourhood U of P in X, a domain V in $\mathbb{C}^m$ for some $m \in \mathbb{N}$ and a finite holomorphic morphism $\tau : U \to V$;
(2) There exists a compact tube in $\mathbb{C}^m$ contained in V such that $P = \tau^{-1}(Q)$.

Then P is a compact Stein set in X.

Proof. As $P = \tau^{-1}(Q)$ and τ is proper, we see that P is compact.

It remains to show that P is a Stein set in X. Let $\mathcal{F}$ be a coherent $\mathcal{O}_P$-module.

Step 1. We first reduce to the case where $\mathcal{F}$ is defined by a coherent $\mathcal{O}_U$-module.

Take an open neighbourhood U' of P in X contained in U such that $\mathcal{F}$ is defined by a coherent $\mathcal{O}_{U'}$-module. By Lemma 4.2 in Topology and bornology, we can take an open neighbourhood V' of Q in V such that $\tau^{-1}(V') \subseteq U'$. The restriction of τ to $\tau^{-1}(V') \to V'$ is again finite.

Step 2. By Leray spectral sequence,

$$H^i(P, \mathcal{F}) \cong H^i(Q, (\tau|_P)_*\mathcal{F})$$

for all $i \geq 0$. By Corollary 4.9 in Morphisms between complex analytic spaces, $(\tau|_P)_*\mathcal{F}$ is a coherent $\mathcal{O}_Q$-module, so we are reduced to show that Q is a Stein set in $\mathbb{C}^m$, which is well-known. □

Definition 6.5. Let X be a Hausdorff complex analytic space and $\mathcal{F}$ be a coherent $\mathcal{O}_X$-module. A *Stein exhaustion of X relative to $\mathcal{F}$* is a compact exhaustion $(P_i)_{i\in\mathbb{Z}_{>0}}$ such that the following conditions are satisfied:

(1) P_i is a Stein set in X for each $i \in \mathbb{Z}_{>0}$;
(2) the $\mathbb{C}$-vector space $H^0(P_i, \mathcal{F})$ admits a semi-norm $|\bullet|_i$ such that the restriction map
$$H^0(X, \mathcal{F}) \to H^0(P_i, \mathcal{F})$$
has dense image with respect to the topological defined by $|\bullet|_i$ for each $i \in \mathbb{Z}_{>0}$;
(3) The restriction map
$$H^0(P_{i+1}, \mathcal{F}) \to H^0(P_i, \mathcal{F})$$
is bounded for each $i \in \mathbb{Z}_{>0}$;
(4) Let $i \in \mathbb{Z}_{\geq 2}$. Suppose that $(s_j)_{j\in\mathbb{Z}_{>0}}$ is a Cauchy sequence in $H^0(P_i, \mathcal{F})$, then the restricted sequence $s_j|_{P_{i-1}}$ has a limit in $H^0(P_{i-1}, \mathcal{F})$;
(5) Let $i \in \mathbb{Z}_{\geq 2}$. If $s \in H^0(P_i, \mathcal{F})$ and $|s|_i = 0$, then $s|_{P_{i-1}} = 0$.

A *Stein exhaustion of* X is a compact exhaustion of X that is a Stein exhaustion of X relative to any coherent $\mathcal{O}_X$-module.

Theorem 6.6. Let X be a Hausdorff complex analytic space and $\mathcal{F}$ be a coherent $\mathcal{O}_X$-module. Assume that $(P_i)_{i\in\mathbb{Z}_{>0}}$ is a Stein exhaustion of X relative to $\mathcal{F}$. Then

$$H^q(X,\mathcal{F})=0 \quad \text{for any } q\in\mathbb{Z}_{>0}.$$

Proof. When $q\geq 2$, this follows from the general facts proved in Lemma 5.4 in Topology and bornology. We will assume that $q=1$.

We may assume that X is connected. First observe that X is necessarily paracompact. This follows from Proposition 3.2 in Topology and bornology. In particular, we can take a flabby resolution

$$0\to\mathcal{F}\to\mathcal{G}^0\to\mathcal{G}^1\to\cdots.$$

Taking global sections, we get a complex

$$0\to H^0(X,\mathcal{F})\xrightarrow{i} H^0(X,\mathcal{G}^0)\xrightarrow{d_0} H^0(X,\mathcal{G}^1)\xrightarrow{d_1} H^0(X,\mathcal{G}^2)\xrightarrow{d_2}\cdots.$$

We need to show that $\ker d_1=\operatorname{Im} d_0$. Let $\alpha\in\ker d_1$. We need to construct $\beta\in H^0(X,\mathcal{G}^0)$ with $d_0\beta=\alpha$.

We take semi-norms $|\bullet|_i$ on $H^0(P_i,\mathcal{F})$ for each $i\in\mathbb{Z}_{>0}$ satisfying the conditions in Definition 6.5. We may furthermore assume that the restriction $H^0(P_{i+1},\mathcal{F})\to H^0(P_i,\mathcal{F})$ is a contraction for each $i\in\mathbb{Z}_{>0}$.

For each $j\in\mathbb{Z}_{\geq 2}$, we will construct $\beta_j\in H^0(P_j,\mathcal{G}^0)$ and $\delta_j\in H^0(P_{j-1},\mathcal{F})$ such that

(1) $(d_0|_{P_j})\beta_j=\alpha|_{P_j}$;
(2) $(\beta_{j+1}+\delta_{j+1})|_{P_{j-1}}=(\beta_j+\delta_j)|_{P_{j-1}}$.

It suffices to take $\beta\in H^0(X,\mathcal{G}^0)$ as the section defined by the $\beta_j+\delta_j$'s.

We first construct β_j. Choose a sequence $\beta'_j\in H^0(P_j,\mathcal{G}^0)$ with

$$(d_0|_{P_j})\beta'_j=\alpha|_{P_j}$$

for each $j\in\mathbb{Z}_{>0}$. This is possible because P_j is Stein. We define β_j satisfying Condition (1) for $j\in\mathbb{Z}_{>0}$ inductively. We begin with $\beta_1=\beta'_1$. Assume that $\beta_1,\dots,\beta_j$ have been constructed. Let

$$\gamma'_j:=\beta'_{j+1}|_{P_j}-\beta_j.$$

Then

$$(d_0|_{P_j})\gamma'_j=0.$$

It follows that $\gamma'_j\in H^0(P_j,\mathcal{F})$. Take $\gamma_j\in H^0(X,\mathcal{F})$ with

$$|\gamma'_j-\gamma_j|_{P_j}|_j\leq 2^{-j}.$$

Define

$$\beta_{j+1}=\beta'_{j+1}-\gamma_i|_{P_{j+1}}.$$

Then clearly β_{j+1} satisfies (1).

Next we construct the sequence δ_j.

We observe that for each $j\in\mathbb{Z}_{>0}$,

$$\left|\beta_{j+1}|_{P_j}-\beta_j\right|_j\leq 2^{-j}.$$

Let

$$s^j_k:=\beta_{j+k}|_{P_j}-\beta_j\in H^0(P_j,\mathcal{F})$$

for all $j \in \mathbb{Z}_{>0}$ and $k \in \mathbb{N}$. By definition,

$$s_k^j - s_{k-1}^{j+1}|_{P_j} = \beta_{j+1}|_{P_j} - \beta_j$$

for all $j \in \mathbb{Z}_{>0}$ and $k \in \mathbb{Z}_{>0}$.

We claim that $(s_k^j|_{P_{j-1}})_k$ converges in $H^0(P_{j-1}, \mathcal{F})$ as $k \to \infty$. By our assumption, it suffices to show that $(s_k^j)_k$ is a Cauchy sequence in $H^0(P_j, \mathcal{F})$ for each $j \in \mathbb{Z}_{>1}$. We first compute

$$\left|\beta_{j+l}|_{P_j} - \beta_{j+l-1}|_{P_j}\right|_j \leq \left|\beta_{j+l}|_{P_{j+l-1}} - \beta_{j+l-1}\right|_{j+l-1} \leq 2^{1-j-l}$$

for all $l \in \mathbb{Z}_{>0}$ and $j \in \mathbb{Z}_{>0}$. As a consequence for $k' > k \geq 1$, we have

$$|s_k^j - s_{k'}^j|_j \leq \sum_{l=k+1}^{k} 2^{1-j-l} \leq 2^{1-j+k}.$$

So we conclude our claim.

Let δ_j be the limit of $s_k^j|_{P_{j-1}}$ as $k \to \infty$ for each $j \in \mathbb{Z}_{\geq 2}$. Then

$$\lim_{k\to\infty} \left(s_k^j - s_{k-1}^{j+1}\right)|_{P_{j-1}} = \left(\delta_j - \delta_{j+1}\right)|_{P_{j-1}}$$

for each $j \in \mathbb{Z}_{\geq 2}$. The desired identity is clear. □

7. Analytic blocks

Definition 7.1. Let X be a Hausdorff complex analytic space. A stone $(P, \pi : X \to \mathbb{C}^n)$ on X is an *analytic block* in X if there are open neighbourhoods U and V of P and Q in X and Y respectively, where $Q \subseteq \mathbb{C}^n$ denotes the tube associated with the stone, such that

(1) $\pi(U) \subseteq V$;
(2) $P = \pi^{-1}(Q) \cap U$;
(3) $U \to V$ induced by π is a finite morphism.

Recall that by Theorem 4.3, we can always assume that $U \to V$ is proper.

Proposition 7.2. Let X be a Hausdorff complex analytic space and (P, π) be an analytic block in X. Then P is a compact Stein set in X.

Proof. This follows from Theorem 6.4 applied to $U \to V$ in Definition 7.1. □

Proposition 7.3. Let X be a complex analytic space such that each compact analytic set in X is finite, then every stone in X is an analytic block in X.

Proof. Let $(P, \pi : X \to \mathbb{C}^n)$ be a stone in X. We consider the proper morphism $\tau : U \to V$ as in Theorem 4.3. Each fiber of τ is a compact subset of U and hence a compact subset of X. By our assumption, it is finite. It suffices to apply Proposition 4.5 in Topology and bornology to conclude that τ is finite. □

8. Holomorphically spreadable spaces

Definition 8.1. Let X be a complex analytic space. We say X is *holomorphically spreadable* if $|X|$ is Hausdorff and for any $x \in X$, we can find an open neighbourhood U of x in X such that

$$\{y \in U : f(x) = f(y) \text{ for all } f \in \mathcal{O}_X(X)\} = \{x\}.$$

A holomorphically separable space is clearly holomorphically spreadable.

Proposition 8.2. Let X be a holomorphically spreadable complex analytic space and $x \in X$. Then there exist finitely many $f_1, \ldots, f_n \in \mathcal{O}_X(X)$ such that x is an isolated point of $W(f_1, \ldots, f_n)$.

PROOF. By induction on $\dim_x X$, it suffices to prove the following claim: if A is an analytic set in X and $a \in A$ such that $\dim_a A \geq 1$. Then there is $f \in \mathcal{O}_X(X)$ such that $\dim_a(A \cap W(f)) = \dim_a A - 1$.

To prove the claim, let $A_1, \ldots, A_k$ be the irreducible components of A. We may assume that all of them contain a. Choose $a_j \in A_j$ for each $j = 1, \ldots, k$ so that $a, a_1, \ldots, a_k$ are pairwise different. Then there is a function $f \in \mathcal{O}_X(X)$ with $f(a) = 0$ while $f(a_j) \neq 0$ for $j = 1, \ldots, k$. Then $a \in W(f)$ while $f|_{A_j}$ is not identically 0. By Krulls Hauptidealsatz, $\dim_a(A_j \cap W(f)) = \dim_a A_j - 1$ for all $j = 1, \ldots, k$. Observe that $A \cap W(f)$ and $\bigcup_{j=1}^k (A_j \cap W(f))$ coincide near a, so

$$\dim_a(A \cap W(f)) = \max_{j=1,\ldots,k} \dim_a(A_j \cap W(f)) = \max_{j=1,\ldots,k} (\dim_a A_j - 1) = \dim_a A - 1.$$

□

Proposition 8.3. Let X be an irreducible holomorphically spreadable complex analytic space. Then X has countable basis.

The statement of this proposition in [Fis76, Proposition 0.37] is clearly wrong. I do not understand the argument of either [Jur59] or [Gra55], where they claim that this result holds for connected holomorphically spreadable complex analytic spaces.

PROOF. We may assume that X is connected. Recall that by Corollary 8.6 in Local properties of complex analytic spaces, X is locally connected. Let $F : X \to \mathbb{C}^{\mathcal{O}_X(X)}$ be the map sending $x \in X$ to $(f(x))_{f \in \mathcal{O}_X(X)}$. By our assumption, F is continuous and has discrete fibers. In particular, for each $x \in X$, we may assume take finitely many $f_1, \ldots, f_n \in \mathcal{O}_X(X)$ so that the induced morphism $F' : X \to \mathbb{C}^n$ is quasi-finite at x. By Corollary 2.13 in Analytic sets, we can find a nowhere dense analytic set A in X such that the map $X \setminus A \to \mathbb{C}^n$ induced by F' is quasi-finite. Now we endow $\mathcal{O}_X(X)$ with the compact-open topology. It is a metric space. By Proposition 6.2 in Topology and bornology, $X \setminus A$ has countable basis. It follows that $\mathcal{O}_X(X \setminus A)$ is a separable metric space. Hence, so it $\mathcal{O}_X(X)$. In particular, there is a continous map with discrete fibers

$$X \to \mathbb{C}^{\omega}.$$

It follows again from Proposition 6.2 in Topology and bornology that X has countable basis. □

Proposition 8.4. Let X be a holomorphically spreadable complex analytic space. Then any compact analytic set A in X is finite.

PROOF. Let B be a connected component of A and $p \in B$. We need to show that $B = \{p\}$. Take finitely many $f_1, \ldots, f_n \in \mathcal{O}_X(X)$ so that p is an isolated point of $W(f_1, \ldots, f_n)$. This is possible by Proposition 8.2. As f_i vanishes on B for each $i = 1, \ldots, n$, we have $B = \{p\}$. □

Corollary 8.5. Let X be a complex analytic space and A be a compact analytic subset of X. Suppose that there exists an analytic block $(P, \pi : X \to \mathbb{C}^n)$ in X with $A \subseteq P$, then A is finite.

Proof. Take $U \subseteq X, V \subseteq \mathbb{C}^n$ as in Definition 7.1 so that $U \to V$ is finite. Then U is clearly holomorphically spreadable. By Proposition 8.4, A is finite. □

9. Holomorphically complete spacs

Definition 9.1. Let X be a complex analytic space. An *exhaustion of X by analytic blocks* is an exhaustion of X by stones $(P_i, \pi_i)_{i\in\mathbb{Z}_{>0}}$ such that (P_i, π_i) is an analytic block for each $i \in \mathbb{Z}_{>0}$.

We say X is *holomorphically complete* if X is Hausdorff and there is an exhaustion of X by analytic stones.

Theorem 9.2. Let X be a Hausdorff complex analytic space. Then the following are equivalent:

(1) X is holomorphically complete;
(2) X is weakly holomorphically convex and every compact analytic subset of X is finite.

Proof. (1) $\implies$ (2): X is weakly holomorphically convex by definition. Each compact analytic subset A of X is contained in some analytic block, hence finite by Corollary 8.5.

(2) $\implies$ (1): This follows from Proposition 7.3. □

Lemma 9.3. Let X be a complex manifold and $\mathcal{I}$ be a coherent subsheaf of $\mathcal{O}_X^l$ for some $l \in \mathbb{Z}_{>0}$. Then $\mathcal{I}(X)$ is a closed subspace of $\mathcal{O}_X(X)^l$ endowed with the compact-open topology.

Proof. Let $(f_j \in \mathcal{I}(X))_{j\in\mathbb{Z}_{>0}}$ be a sequence with a limit $f \in \mathcal{O}_X^l(X)$. Let $x \in X$. It suffices to show that $f_x \in \mathcal{I}_x$. Observe that f_x is the limit of f_{jx} as $j \to \infty$. As $\mathcal{O}_{X,x}$ is noetherian, the submodule $\mathcal{I}_x$ of $\mathcal{O}_x^l$ is closed by Corollary 7.4 in Banach rings. We conclude. □

Definition 9.4. Let X be a complex analytic space and $\mathcal{F}$ be a coherent $\mathcal{O}_X$-module. Let $(P, \pi : X \to \mathbb{C}^n)$ be an analytic block on X with a non-zero associated tube $Q \subseteq \mathbb{C}^n$.

Choose $U \subseteq X, V \subseteq \mathbb{C}^n$ as in Definition 7.1 so that $\tau : U \to V$ induced by π is finite. Then $\mathcal{G} := \tau_*(\mathcal{F}|_U)$ is a coherent $\mathcal{O}_V$-module. By Corollary 6.3, we can find $l \in \mathbb{Z}_{>0}$ and an epimorphism $\mathcal{O}_Q^l \to \mathcal{G}|_Q$. It induces an epimorphism $\epsilon : H^0(Q, \mathcal{O}_{\mathbb{C}^n})^l \to H^0(Q, \mathcal{G}) \xrightarrow{\sim} H^0(P, \mathcal{F})$. We define a semi-norm $|\bullet|$ on $H^0(P, \mathcal{F})$ as the quotient semi-norm induced by the sup seminorm on $H^0(Q, \mathcal{O}_{\mathbb{C}^n})^l$.

A seminorm on $H^0(P, \mathcal{F})$ defined in this way is called a *good semi-norm* on $H^0(P, \mathcal{F})$ with respect to (P, π).

Lemma 9.5. Let X be a complex analytic space and $\mathcal{F}$ be a coherent $\mathcal{O}_X$-module. Let (P, π) be an analytic block on X. A good semi-norm on $H^0(P, \mathcal{F})$ induces a metric on $H^0(P^0, \mathcal{F})$.

Proof. We need to show that if $|s| = 0$ for some $s \in H^0(P, \mathcal{F})$, then $s|_{P^0} = 0$, where P^0 is the analytic interior of P.

We use the same notations as in Definition 9.4. We can take $h \in H^0(Q, \mathcal{O}_{\mathbb{C}^n})^l$ and $h_j \in \ker \epsilon$ for each $j \in \mathbb{Z}_{>0}$ so that $\epsilon(h) = s$ and $\|h_j - h\|_{L^\infty} \to 0$. So $h_j|_Q \to h|_Q$ with respect to the compact-open topology. From Lemma 9.3, we conclude that the image of $h|_{\operatorname{Int} Q}$ is 0. Namely, s vanishes on $P^0 = \tau^{-1}(\operatorname{Int} Q)$. □

Lemma 9.6. Let X be a complex analytic space and $\mathcal{F}$ be a coherent $\mathcal{O}_X$-module. Let $(P, \pi : X \to \mathbb{C}^n)$ be an analytic block on X with a non-zero associated tube $Q \subseteq \mathbb{C}^n$. Consider the epimorphism of sheaves

$$\mathcal{O}_Q^l \to \pi_*(\mathcal{F}|_P)$$

as in Definition 9.4 and endow $H^0(P^0, \mathcal{F})$ with the metric induced by the corresponding good semi-norm. Let

$$Q_1 \subseteq Q_2 \subseteq \cdots$$

be a compact exhaustion of $\operatorname{Int} Q$ by tubes with the same centers in $\mathbb{C}^n$. We get an induced map

$$\epsilon_j : H^0(Q_j, \mathcal{O}^l_{\mathbb{C}^n}) \to \pi_*(\mathcal{F}|_P)(Q_j)$$

for each $j \in \mathbb{Q}_{>0}$. We therefore get good semi-norms $|\bullet|_j$ on $H^0(P^0, \mathcal{F})$ for each $j \in \mathbb{Z}_{>0}$. Let

$$d(s_1, s_2) := \sum_{j=1}^{\infty} 2^{-j} \frac{|s_1 - s_2|_j}{1 + |s_1 - s_2|_j}$$

for each $s_1, s_2 \in H^0(P^0, \mathcal{F})$. Then d is a metric on $H^0(P^0, \mathcal{F})$ and $H^0(P^0, \mathcal{F})$ is a Fréchet space with respect to this topology.

Moreover, the topology does not depend on the choice of π, ϵ and the exhaustion.

Proof. By Lemma 9.5, each $|\bullet|_\nu$ is a norm on $H^0(P^0, \mathcal{F})$. It follows that d is a metric. Next we show that $H^0(P^0, \mathcal{F})$ is Fréchet. Let $(s_j)_{j \in \mathbb{Z}_{>0}}$ be a Cauchy sequence in $H^0(P^0, \mathcal{F})$. We can find bounded sequences $(f_{jk} \in H^0(Q_k, \mathcal{O}^l_{\mathbb{C}^n}))_{k \in \mathbb{Z}_{>0}}$ so that $\epsilon_k(f_{jk}) = s_j|_{\pi^{-1}(Q_k) \cap P}$ $(k \in \mathbb{Z}_{>0})$ for each $j\mathbb{Z}_{>0}$. By Montel's theorem, there is a subsequence of $(f_{jk})_j$ which converges uniformly on Q_{k-1} to $f_k \in H^0(Q_{k-1}, \mathcal{O}^l_{\mathbb{C}^n})$. Then $\epsilon_{k-1}(f_{k+1})|_{\operatorname{Int} Q_{k-1}} = \epsilon_{k-1}(f_k)|_{\operatorname{Int} Q_{k-1}}$ for each $k \in \mathbb{Z}_{\geq 2}$. So we can glue the f_k's to $s \in H^0(P^0, \mathcal{F})$. Clearly, $s_k \to s$ as $k \to \infty$.

Next we show that the topology is independent of the choice of π, ϵ and the exhaustion. The independence of the exhaustion is obvious. We prove the other two independence. Let $(P, \pi' : X \to \mathbb{C}^{n'})$ be another analytic block with $\pi' = (\pi, \varphi) : X \to \mathbb{C}^n \times \mathbb{C}^m$, $n' = n + m$. Let $Q^* \subseteq \mathbb{C}^m$ be a tube such that $\varphi(P) \subseteq Q^*$. Then $P = \pi'^{-1}(Q \times Q^*) \cap U$. We can find an open neighbourhood U' of P in X and V' of $Q \times Q^*$ in $\mathbb{C}^{n'}$ for which the induced map $\tau' : U' \to V'$ is finite by Definition 7.1. Fix an epimorphism $\mathcal{O}^{l'}_{\mathbb{C}^{n'}}|_{Q \times Q^*} \to \pi'_*(\mathcal{F}|_P)$ for some $l' \in \mathbb{Z}_{>0}$. Construct an exhanstion of $\operatorname{Int} Q \times \operatorname{Int} Q^*$ of the product type: $(Q_j \times Q_j^*)_{j \in \mathbb{Z}_{>0}}$ as in the lemma. Let d' denote the induced metric on $H^0(\operatorname{Int} P, \mathcal{F})$.

We will show that d' and d induce the same topology. Let $e_1, \ldots, e_l \in H^0(Q, \mathcal{O}^l_{\mathbb{C}^n})$ be the standard basis. Let $e'_1, \ldots, e'_l$ be the preimages of $\epsilon(e_1), \ldots, \epsilon(e_l) \in \pi_*(\mathcal{F}|P)(Q) = \pi'_*(\mathcal{F}|_P)(Q \times Q^*)$ in $\mathcal{O}_{\mathbb{C}^{n'}}(Q \times Q^*)^{l'}$ under ϵ'. Further, for $f \in \mathcal{O}_{\mathbb{C}^n}(Q_j)$, we denote by $f' \in \mathcal{O}_{\mathbb{C}^{n'}}(Q_j \times Q_j^*)$ the holomorphic extension of f to $Q_j \times Q_j^*$ constant along $\{q\} \times Q_j^*$ for each $q \in Q_j$ for each $j \in \mathbb{Z}_{>0}$. The norms of

$$\mathcal{O}_{\mathbb{C}^n}(Q_j)^l \to \mathcal{O}_{\mathbb{C}^{n'}}(Q_j \times Q_j^*)^l, \quad \sum_{i=1}^{l} f_i e_i \mapsto \sum_{i=1}^{l} f'_i e'_i$$

for $j \in \mathbb{Z}_{>0}$ are bounded by a constant independent of j. Therefore, the identity map

$$(H^0(P^0, \mathcal{F}), d) \to (H^0(P^0, \mathcal{F}), d')$$

is continuous. By open mapping theorem, the map is a homeomorphism. □

Theorem 9.7. Let X be a complex analytic space and $(P,\pi)\subseteq(P',\pi')$ be two analytic blocks on X and $\mathcal{F}$ be a coherent $\mathcal{O}_X$-module, then the restriction map

$$H^0(P',\mathcal{F})\to H^0(P,\mathcal{F})$$

with respect to any good semi-norms.

Proof. We claim that there exists an analytic block (P_1,π) such that

$$(P,\pi)\subseteq(P_1,\pi)\subseteq(P',\pi').$$

Assume this claim, then we have a decomposition of the restriction map

$$H^0(P',\mathcal{F})\to H^0(P_1^0,\mathcal{F})\to H^0(P,\mathcal{F}).$$

The first map is continuous if we endow $H^0(P_1^0,\mathcal{F})$ with the topology induced by π', the second is continuous if we endow $H^0(P_1^0,\mathcal{F})$ with the topology induced by π. These topologies are identical by Lemma 9.6. Our assertion follows.

To argue the claim, let us write $\pi:X\to\mathbb{C}^n$ and $\pi'=(\pi,\varphi):X\to\mathbb{C}^n\times\mathbb{C}^m$. Take $q\in\mathbb{C}^m$ with $Q\times\{q\}\subseteq\operatorname{Int}Q'$. Let $Q'':=Q'\cap(\mathbb{C}^n\times\{q\})$ and identify it with a subset of $\mathbb{C}^n$. Let Q^* be the image of Q' under the projection $\mathbb{C}^{n+m}\to\mathbb{C}^m$.

Choose open neighbourhoods $U\subseteq P'^0$, $V\subseteq Q'$ of P and Q respectively such that $\tau:U\to V$ is finite and $U\cap\pi^{-1}(Q)=P$. Take a tube $Q_1\subseteq\mathbb{C}^n$ such that

$$Q\subseteq\operatorname{Int}Q_1\subseteq Q_1\subseteq\operatorname{Int}Q''.$$

Now it suffices to set $P_1:=\pi^{-1}(Q_1)\cap U$. □

Corollary 9.8. Let X be a complex analytic space and $\mathcal{F}$ be a coherent $\mathcal{O}_X$-module. Let $(P,\pi)\subseteq(P',\pi')$ be analytic blocks in X. Then for any Cauchy sequence $(s_j)_{j\in\mathbb{Z}_{>0}}$ in $H^0(P',\mathcal{F})$, the restriction sequence $(s_j|_P)_{j\in\mathbb{Z}_{>0}}$ has a limit in $H^0(P,\mathcal{F})$.

Proof. Choose an analytic block (P_1,π) such that

$$(P,\pi)\subseteq(P_1,\pi)\subseteq(P',\pi').$$

The existence of the block (P_1,π) is argued in the proof of Theorem 9.7. We have a decomposition of the restriction map

$$H^0(P',\mathcal{F})\to H^0(P_1^0,\mathcal{F})\to H^0(P,\mathcal{F}).$$

The first map is bounded, so the images of $(s_j)_{j\in\mathbb{Z}_{>0}}$ in $H^0(P_1^0,\mathcal{F})$ is a Cauchy sequence. As we have shown that $H^0(P_1^0,\mathcal{F})$ is a Fréchet space in Lemma 9.6, the sequence converges. As the second map is also continuous, it follows that $(s_j|_P)_{j\in\mathbb{Z}_{>0}}$ has a limit in $H^0(P,\mathcal{F})$. □

Lemma 9.9. Let X be a complex analytic space and $\mathcal{F}$ be a coherent $\mathcal{O}_X$-module. Let $(P,\pi:X\to\mathbb{C}^n)\subseteq(P',\pi':X\to\mathbb{C}^n\times\mathbb{C}^m)$ be analytic blocks in X with tubes Q and Q'. Choose $U'\subseteq X$ and $V'\subseteq\mathbb{C}^{n+m}$ of P' and Q' respectively as in Definition 7.1 such that $U'\to V'$ is finite. Set

$$Q_1:=(Q\times\mathbb{C}^m)\cap Q',\quad P_1=\pi'^{-1}(Q_1)\cap U'.$$

Then $(P_1.\pi')$ is an analytic block in X with block Q_1 and $H^0(P',\mathcal{F})\to H^0(P_1,\mathcal{F})$ has dense image. Here we take an epsimorphism

$$\mathcal{O}^{l'}_{\mathbb{C}^{n+m}}|_{Q'}\to(\tau'(\mathcal{F}|_{U'}))_{Q'}$$

and it induces

$$\mathcal{O}^{l'}_{\mathbb{C}^{n+m}}|_{Q_1} \to (\tau'(\mathcal{F}|_{U'}))_{Q_1},$$

which in turn induces a good semi-norm on $H^0(P_1, \mathcal{F})$. This is the semi-norm we are using.

Moreover, there is a compact set $\tilde{P} \subseteq X$ disjoint from P such that

$$P_1 = P \cup \tilde{P}.$$

PROOF. We have a commutative diagram in the category of topological linear spaces:

$$\begin{array}{ccc} H^0(Q', \mathcal{O}^l_{\mathbb{C}^{m+n}}) & \longrightarrow & H^0(P', \mathcal{F}) \\ \downarrow & & \downarrow \\ H^0(Q_1, \mathcal{O}^l_{\mathbb{C}^{m+n}}) & \longrightarrow & H^0(P_1, \mathcal{F}) \end{array}.$$

In order to show that the right vertical map has dense image, it is enough to show that the map on the left-hand side has dense images, which is the Runge approximation.

For the last assertion, as $Q_1 = (Q \times \mathbb{C}^m) \cap Q'$, we have

$$P_1 = \pi^{-1}(Q) \cap P'.$$

As $P \subseteq P'$ and $P \subseteq \pi^{-1}(Q)$, it follows that $P \subseteq P_1$. But there is an open neighbourhood U of P in X so that $P = \pi^{-1}(Q) \cap U$. Hence, $\tilde{P} = P_1 \setminus P$ is compact. □

Theorem 9.10 (Runge approximation)**.** Let X be a complex analytic space and $\mathcal{F}$ be a coherent $\mathcal{O}_X$-module. Let $(P, \pi : X \to \mathbb{C}^n) \subseteq (P', \pi' : X \to \mathbb{C}^n \times \mathbb{C}^m)$ be analytic blocks in X with tubes Q and Q'. Then the map

$$H^0(P', \mathcal{F}) \to H^0(P, \mathcal{F})$$

has dense image with respect to a good semi-norm.

PROOF. We use the notations of Lemma 9.9. We extend Q, Q_1, Q' to tubes $\hat{Q}, \hat{Q}_1, \hat{Q}'$ and get $\hat{P}, \hat{P}_1, \hat{P}'$ corresponding to the original P, P_1, P'. The restriction map

$$H^0(\hat{P_1}^0, \mathcal{F}) \to H^0(\hat{P}^0, \mathcal{F})$$

is a continuous morphism of Fréchet spaces.

Let $s \in H^0(P, \mathcal{F})$ be a section. Lift s to $s_1 \in H^0(P_1, \mathcal{F})$. Up to a suitable modification of the tubes, we can extend s_1 to $\hat{s_1} \in H^0(\hat{P}_1, \mathcal{F})$. Then there is a sequence $(s^j \in H^0(\hat{P}', \mathcal{F}))_{j \in \mathbb{Z}_{>0}}$ such that $s^j|_{\hat{P}_1} \to \hat{s_1}$ as $j \to \infty$ in $H^0(\hat{P}_1, \mathcal{F})$. It follows that $s^j|_{\hat{P}^0} \to \hat{s}_1|_{\hat{P}^0}$ in $H^0(\hat{P}^0, \mathcal{F})$. It follows that $s^j|_P \to s_1|_P = s$ sa $j \to \infty$. □

Theorem 9.11. Let X be a complex analytic space. Each exhaustion of X by analytic blocks is a Stein exhaustion.

PROOF. Let $(P_i, \pi_i)_{i \in \mathbb{Z}_{>0}}$ be an exhaustion of X by analytic blocks. Take a coherent $\mathcal{O}_X$-module $\mathcal{F}$.

We verify the conditions in Definition 6.5. By Theorem 6.4, P_i is a compact Stein set for each $i \in \mathbb{Z}_{>0}$. So (1) is satisfied.

On $H^0(P_i,\mathcal{F})$, we fix a good semi-norm $|\bullet|_i$ for each $i\in\mathbb{Z}_{>0}$. We may assume that $H^0(P_{i+1},\mathcal{F})\to H^0(P_i,\mathcal{F})$ is contractive for $i\in\mathbb{Z}_{>0}$.

We have already verified (3), (4) and (5).

We verify (2). It suffices to show that

$$H^0(X,\mathcal{F})\to H^0(P_1,\mathcal{F})$$

has dense image. Let $s\in H^0(P_1,\mathcal{F})$ and $\delta>0$. By Theorem 9.10, we can find $s_i\in H^0(P_i,\mathcal{F})$ for $i\in\mathbb{Z}_{>0}$ such that $s_1=s$,

$$\left|s_{i+1}|_{P_i}-s_i\right|_i<2^{-i}\delta$$

for $i\in\mathbb{Z}_{>0}$. By Corollary 9.8, $(s_j|_{P_i})_{j\in\mathbb{Z}_{>0}}$ has a limit $t_i\in H^0(P_i,\mathcal{F})$ for each $i\in\mathbb{Z}_{>0}$. As $H^0(P_{i+1},\mathcal{F})\to H^0(P_i,\mathcal{F})$ is continuous for $i\in\mathbb{Z}_{>0}$, the $t_{i+1}|_{P_i}$'s are compatible and defines $t\in H^0(X,\mathcal{F})$. It is easy to see that $|t|_{P_1}-s|_1<\delta$. Thus condition (2) is satisfied. □

10. Stein spaces

Definition 10.1. Let X be a complex analytic space. We say that X is a Stein space if X is a Stein set in X and $|X|$ is paracompact and Hausdorff.

Definition 10.2. Let X be a complex analytic space. An *effective formal* 0-*cycle* on X consists of

(1) A disrete set $D\subseteq X$;
(2) An integer n_x for each $x\in D$.

We write the effective formal 0-cycle as $\sum_{x\in D}n_x x$. We define the *ideal sheaf* $\mathcal{O}_X(-\sum_{x\in D}n_x x)$ of an effective formal 0-cycle as $\sum_{x\in D}n_x x$ as

$$\mathcal{O}_X(-\sum_{x\in D}n_x x)(U)=\left\{f\in H^0(U,\mathcal{O}_X): f_x\in\mathfrak{m}_x^{n_x}\text{ for each }x\in D\cap U\right\}$$

for each open subset $U\subseteq X$.

Observe that $\mathcal{O}_X(-\sum_{x\in D}n_x x)$ is a coherent $\mathcal{O}_X$-module. In fact, the problem is local, so we may assume that D is finite. In this case, D is an effective 0-cycle and the result is clear.

Lemma 10.3. Let X be a complex analytic space and $\sum_{x\in D}n_x x$ be an effective formal 0-cycle on X. Assume that

$$H^0(X,\mathcal{O}_X)\to H^0(X,\mathcal{O}_X/\mathcal{O}_X(-\sum_{x\in D}n_x x))$$

is surjective. Suppose that for each $x\in D$, we assign $g_x\in\mathcal{O}_{X,x}$. Then there is $f\in H^0(X,\mathcal{O}_X)$ such that

$$f_x-g_x\in\mathfrak{m}_x^{n_x}$$

for all $x\in D$.

Proof. We define $s\in H^0(X,\mathcal{O}_X/\mathcal{O}_X(-\sum_{x\in D}n_x x))$ by $s_x=g_x$ for each $x\in D$. Lift s to $f\in H^0(X,\mathcal{O}_X)$. Then f clearly satisfies the required properties. □

Proposition 10.4. Let X be a complex analytic space. Assume that $H^1(X,\mathcal{I})=0$ for each coherent ideal sheaf $\mathcal{I}$ on X. Let $(x_i\in X)_{i\in\mathbb{Z}_{>0}}$ be a sequence without accumulation points and $(c_i)_{i\in\mathbb{Z}_{>0}}$ be a sequence in $\mathbb{C}$. Then there is $f\in\mathcal{O}_X(X)$ with $f(x_i)=c_i$ for each $i\in\mathbb{Z}_{>0}$.

PROOF. Consider the formal cycle $\sum_{i=1}^{\infty} x_i$. Apply Lemma 10.3 with $g_{x_i} = c_i$. □

Theorem 10.5. Let X be a paracompact Hausdorff complex analytic space. Then the following are equivalent:

(1) X is a Stein space;
(2) For any coherent ideal sheaf $\mathcal{I}$ on X, we have $H^1(X, \mathcal{I}) = 0$;
(3) X is holomorphically separable and holomorphically convex;
(4) X is holomorphically spreadable and weakly holomorphically convex;
(5) X is holomorphically complete;
(6) X is weakly holomorphically convex and every compact analytic subset of X is finite.

PROOF. (1) $\implies$ (2): This is trivial.

(2) $\implies$ (3): X is holomorphicaly convex by Proposition 10.4 and Proposition 5.4. X is holomorphically separable by Proposition 10.4.

(3) $\implies$ (4): X is holomorphically spreadable and weakly holomorphically convex by definition.

(4) $\implies$ (5): This follows from Theorem 9.2 and Proposition 8.4.

(5) $\implies$ (1): This follows from Theorem 9.11 and Theorem 6.6.

(5) $\Leftrightarrow$ (6): This is just Theorem 9.2. □

Lemma 10.6. Let $b \in \mathbb{Z}_{>0}$ and $f : X \to Y$ be a b-sheeted branched covering of complex analytic spaces. Assume that Y is normal. Then the following are equivalent:

(1) X is Stein;
(2) Y is Stein.

The corresponding statement in Narasimhan is not correct. It is not clear to me if this holds for a general finite surjective morphism between paracompact normal Hausdorff complex analytic spaces.

PROOF. By Lemma 2.2, X is paracompact and Hausdorff if and only if Y is paracompact and Hausdorff.

(2) $\implies$ (1): This follows from Leray's spectral sequence.

(1) $\implies$ (2): We may assume that X is connected. By Theorem 10.5, it suffices to verify that Y is holomorphically convex and every analytic set in Y is finite.

Let $(y_i \in Y)_{i \in \mathbb{Z}_{>0}}$ be a sequence without accumulation points. We can lift the sequence to $(x_i \in X)_{i \in \mathbb{Z}_{>0}}$ without accumulation points. By Proposition 10.4, we can find $g \in \mathcal{O}_X(X)$ such that $(|g(x_i)|)_{i \in \mathbb{Z}_{>0}}$ is unbounded. Let $\chi_g \in \mathcal{O}_Y(Y)[w]$ be the characteristic polynomial of g. As $\chi_g(g) = 0$, it follows that at least one coefficient of χ_g is unbounded along $(y_i)_{i \in \mathbb{Z}_{>0}}$. By Proposition 5.4, we conclude that Y is holomorphically convex.

Let T be an analytic set in Y. Then so is $f^{-1}(T)$. As X is Stein, $f^{-1}(T)$ is finite, hence so is T. □

Corollary 10.7. Let $f : X \to Y$ be a finite surjective morphism of normal complex analytic spaces. Then the following are equivalent:

(1) X is Stein;
(2) Y is Stein.

PROOF. By Lemma 2.2, X is paracompact and Hausdorff if and only if Y is paracompact and Hausdorff. We may assume that Y is connected.

(2) $\implies$ (1): This follows from Leray's spectral sequence.

(1) $\implies$ (2): Observe that Y is irreducible, so there is a connected component X' of X so that the restriction $X' \to Y$ is surjective. Then $X' \to Y$ is a branched covering by Corollary 4.40 in Morphisms between complex analytic spaces. But X' is Stein as it is a connected component of a Stein space. We conclude using Lemma 10.6. □

Lemma 10.8. Let X be a reduced complex analytic space whose normalization $\bar{X}$ is Stein. Then for any reduced closed analytic subspace Y of X, $\bar{Y}$ is also Stein.

PROOF. By Lemma 2.2, X is paracompact and Hausdorff. We write $\pi : \bar{X} \to X$ for the normalization morphism. Let $Y^1 = \pi^{-1}(Y)$, the preimage is endowed with a structure of a closed analytic subspace of X. It follows that Y^1 is Stein. Its normalization $\overline{Y^1}$ is then Stein, as the normalization morphism is finite. We have commutative diagram induced by the universal property of the normalization:

$$\begin{array}{ccc} \overline{Y^1} & \longrightarrow & \bar{Y} \\ \downarrow & \swarrow & \\ Y & & \end{array} .$$

The natural morphism $\overline{Y^1} \to Y$ is a finite as it is the composition of two finite coverings. Then morphism $\bar{Y} \to Y$ is finite, so $\overline{Y^1} \to \bar{Y}$ is finite. But its image contains a dense open subset of $\bar{Y}$, so $\overline{Y^1} \to \bar{Y}$ is surjective. Observe that $\bar{Y}$ is paracompact and Hausdorff by the same arguments as in Lemma 10.6. Now we can apply Corollary 10.7 to conclude that $\bar{Y}$ is Stein. □

Corollary 10.9. Let X be a complex analytic space. Then the following are equivalent:

(1) X is Stein;
(2) X^{red} is Stein;
(3) The normalization $\overline{X^{\mathrm{red}}}$ is Stein.

The equivalence of (1) and (2) is due to Grauert [Gra60]. Here we follow the simplified approach in [GR77]. The difficult direction (3) implies (2) is claimed in [GR77], where the proof is nonsense. We follow the argument of Narasimhan [Nar62]. We remind the readers that the statements and the arguments in [Nar62] contain several (fixable) mistakes.

PROOF. By Lemma 2.2, X is paracompact and Hausdorff if and only if $\overline{X^{\mathrm{red}}}$ is.

(1) $\implies$ (2): This follows from Leray's spectral sequence.

(2) $\implies$ (1): By Theorem 10.5(3), it suffices to show that the restriction map $H^0(X, \mathcal{O}_X) \to H^0(X^{\mathrm{red}}, \mathcal{O}_{X^{\mathrm{red}}})$ is surjective.

Let $\mathcal{I}$ be the nilradical of $\mathcal{O}_X$. It is coherent by Cartan–Oka theorem. For each $i \in \mathbb{Z}_{>0}$, we have a short exact sequence

$$0 \to \mathcal{I}^i/\mathcal{I}^{i+1} \to \mathcal{O}_X/\mathcal{I}^{i+1} \to \mathcal{O}_X/\mathcal{I}^i \to 0.$$

As $\mathcal{I}^i/\mathcal{I}^{i+1}$ is a coherent $\mathcal{O}_{X^{\mathrm{red}}}$-module, we conclude that

$$\varphi_i : H^0(X, \mathcal{O}_X/\mathcal{I}^{i+1}) \to H^0(X, \mathcal{O}_X/\mathcal{I}^i)$$

is surjective for each $i \in \mathbb{Z}_{>0}$. Let $h_1 \in H^0(X, \mathcal{O}_X/\mathcal{I}) = H^0(X^{\mathrm{red}}, \mathcal{O}_{X^{\mathrm{red}}})$. We want to lift it to $h \in H^0(X, \mathcal{O}_X)$.

We successively lift h_1 to $h_i \in H^0(X, \mathcal{O}_X/\mathcal{I}^i)$ for each $i \in \mathbb{Z}_{>0}$. Let $X_i = X \setminus \operatorname{Supp} \mathcal{I}^i$ of each $i \in \mathbb{Z}_{>0}$. Then clearly

$$X = \bigcup_{i=1}^{\infty} X_i.$$

It is easy to see that

$$h_{i+1}|_{X_i} = h_i|_{X_i}$$

for each $i \in \mathbb{Z}_{>0}$. It follows that we can glue the $h_i|_{X_i}$'s to $h \in H^0(X, \mathcal{O}_X)$ which restricts to h_1.

(2) $\implies$ (3): This follows from Leray's spectral sequence as $\overline{X^{\mathrm{red}}} \to X^{\mathrm{red}}$ is finite by Proposition 7.8 in Local properties of complex analytic spaces.

(3) $\implies$ (2): We may assume that X is reduced.

Step 1. We first observe that it suffices to prove in the case where $\dim X < \infty$. For each $k \in \mathbb{Z}_{>0}$, we let X_k denote the union of the irreducible components of dimension $\leq k$. Then clearly, X_k is an analytic set in X. We endow it with the reduced induced structure. Then $\dim X_k \leq k$. The normalization $\overline{X_k}$ of X_k is a disjoint union of certain connected components of $\bar{X}$ and hence Stein for each $k \in \mathbb{Z}_{>0}$. It follows that X_k is Stein if the special case is established.

Let $D \subseteq X$ be a countable infinite set without accumulation points. For each $k \in \mathbb{Z}_{>0}$, we set $D_k = D \cap X_k$ and $E_{k+1} = D_{k+1} \setminus D_k$. Further we let $E_1 = D_1$. We write the points of D as $(x_i \in X)_{i \in \mathbb{Z}_{>0}}$. Let $h : D \to \mathbb{C}$ be the map sending x_i to i for each $i \in \mathbb{Z}_{>0}$. For each $k \in \mathbb{Z}_{>0}$, h_k denotes the restriction of h to D_k.

As X_1 is Stein, we can construct $f_1 \in \mathcal{O}_{X_1}(X_1)$ with $f_1|_{E_1} = h_1$ by Proposition 10.4. As $E_2 \cup X_1$ is an analytic subset in X_2, we can find $f_2 \in \mathcal{O}_{X_2}(X_2)$ extending f_1 and such that $f_2|_{E_2} = h_2$. We continue in the obvious way and construct $f_k \in \mathcal{O}_{X_k}(X_k)$ for each $k \in \mathbb{Z}_{>0}$ compatible with each other. Then the f_k's glue to give $f \in \mathcal{O}_X(X)$ unbounded on D. We conclude that X is Stein by Proposition 5.4.

Step 2. We assume that $\dim X < \infty$.

Let $\mathcal{I}$ be a coherent ideal sheaf on X. By Theorem 10.5, it suffices to show that

$$H^1(X, \mathcal{I}) = 0.$$

We may assume that X is connected. We make an induction on $\dim X$. There is nothing to prove if $\dim X = 0$. Assume that $\dim X > 0$.

We write $\pi : \bar{X} \to X$ for the normalization morphism. Let $\mathcal{W}$ be the conductor ideal of $\mathcal{O}_X$. Let $\mathcal{F} := \pi^*(\mathcal{W}\mathcal{I})$. Observe that $\mathcal{F}$ is a coherent $\mathcal{O}_{\bar{X}}$-module. By Leray spectral sequence,

$$H^1(X, \pi_*\mathcal{F}) \cong H^1(\bar{X}, \mathcal{F}) = 0.$$

Let $Y := \operatorname{Supp} \mathcal{O}_X/\mathcal{W} \subseteq X^{\mathrm{Sing}}$. Then Y is an analytic set in X. We endow Y with the reduced induced structure, then Y is Stein by Lemma 10.8 and our inductive hypothesis.

Observe that $\pi_*\mathcal{F}$ can be identified with a subsheaf of $\mathcal{W} \cdot \overline{\mathcal{O}_X} \subseteq \mathcal{I}$. Let $\mathcal{S} = (\mathcal{I}/\pi_*\mathcal{F})|_Y$. Then we have

$$H^1(X, \mathcal{I}/\pi_*\mathcal{F}) \cong H^1(Y, \mathcal{S}) = 0.$$

Consider the short exact sequence

$$0 \to \pi_* \mathcal{F} \to \mathcal{I} \to \mathcal{I}/\pi_*\mathcal{F} \to 0.$$

We conclude that

$$H^1(X, \mathcal{I}) = 0.$$

□

Corollary 10.10. Let X be a complex analytic space. Then the following are equivalent:

(1) X is Stein;
(2) Each irreducible component of X^{red} is Stein if we endow it with the reduced induced structure.

PROOF. This follows immediately from Corollary 10.9. □

Corollary 10.11. Let $f : X \to Y$ be a finite morphism between complex analytic spaces. Then

(1) if Y is Stein, so is X;
(2) if f is surjective and X is Stein, then Y is also Stein.

This result is due to Narasimhan [Nar62], although the statement and the proof in [Nar62] are both incorrect.

PROOF. Observe that X is paracompact and Hausdorff as in the proof of Lemma 10.6. By Corollary 10.9, we may assume that X and Y are reduced.

(1) Observe that X is paracompact and Hausdorff as f is proper. The fact that X is Stein follows from Leray's spectral sequence.

(2) Observe that Y is by paracompact and Hausdorff by Lemma 2.2. We may assume that Y is irreducible by Corollary 10.10. Up to replacing X by one of its irreducible components whose image under f is Y, we may assume that X is also irreducible.

By Corollary 4.34 in Morphisms between complex analytic spaces, we can find a commutative diagram

$$\begin{array}{ccc} \bar{X} & \xrightarrow{\bar{f}} & \bar{Y} \\ \downarrow & & \downarrow \\ X & \xrightarrow{f} & Y \end{array}.$$

By Corollary 10.9, we are reduced to show that $\bar{X}$ is Stein if and only if $\bar{Y}$ is. But $\bar{f} : \bar{X} \to \bar{Y}$ is clearly finite and surjective. So it suffices to apply Corollary 10.7. □

11. Flat locus

Proposition 11.1. Let X be a reduced complex analytic space, $x \in X$ and U be an open neighbourhood of x in X. Consider the following conditions:

(1) All irreducible components of U pass through x;
(2) U is $\mathcal{O}_X$-previlaged at x.

Then (1) implies (2).

[Fri67] also claims that if U is Stein, then (2) implies (1). I cannot figure out a proof.

PROOF. (1) $\implies$ (2): Let $s \in H^0(U, \mathcal{F})$ with $s_x = 0$. We want to show that $s = 0$. By (1), we may assume that X is irreducible. Then X^{reg} is connected by Corollary 4.38 in Morphisms between complex analytic spaces. As $s_x = 0$, s vanishes on a non-empty open subset of X^{reg} by Theorem 6.8 in Local properties of complex analytic spaces. It follows that $s|_{X^{\mathrm{reg}}} = 0$ by Identitätssatz. Hence, $s = 0$. □

Proposition 11.2. Let X be a complex analytic space, $x \in X$ and $\mathcal{F}$ be a coherent $\mathcal{O}_X$-module. There is an open neighbourhood U of x in X and finitely many analytic sets $Y_1, \ldots, Y_m$ in X containing x having the following property: a neighbourhood V of x in X contained in U is $\mathcal{F}$-previlaged at x if $U \cap Y_i$ is $\mathcal{F}|_{Y_i}$-previlaged at x for each $i = 1, \ldots, m$.

PROOF. **Step 1**. Let
$$0 \to \mathcal{G} \to \mathcal{F} \to \mathcal{H}$$
be an exact sequence of coherent $\mathcal{O}_X$-modules. Suppose that we have proved the proposition with $\mathcal{G}$ and $\mathcal{H}$ in place of $\mathcal{F}$, let us show that the proposition also holds for $\mathcal{F}$. Let U', $Y'_1, \ldots, Y'_{m'}$ and U'', $Y''_1, \ldots, Y''_{m''}$ be the data in the proposition with respect to $\mathcal{G}$ and $\mathcal{H}$ respectively. We let $U := U' \cap U''$, $m = m' + m''$ and
$$Y_1 = Y'_1 \cap U, \ldots, Y_{m'} = Y'_{m'} \cap U, Y_{m'+1} = Y''_1 \cap U, \ldots, Y_{m'+m''} = Y''_{m''} \cap U.$$
It follows from Proposition 7.2 in Topology and bornology that these data have the desired property.

Step 2. By Jordan–Hölder theorem, we can find an open neighbourhood U of x in X and a finite chain of coherent $\mathcal{O}_U$-modules
$$0 = \mathcal{F}_0 \to \mathcal{F}_1 \to \cdots \to \mathcal{F}_n = \mathcal{F}|_U$$
such that $\mathcal{F}_i/\mathcal{F}_{i-1}$ is isomorphic to $\mathcal{O}_{Y_i \cap U}$ for some irreducible reduced closed analytic subspace of X passing through x for $i = 1, \ldots, n$. By Step 1, it suffices to handle the case $\mathcal{F} = \mathcal{O}_{Y_i}$ for some $i = 1, \ldots, n$.

Step 3. Let Y be an analytic set in X endowed with the reduced induced structure passing through x. Let V be a neighbourhood of x in X. We need to show that V is $\mathcal{O}_Y$-previlaged at x if $V \cap Y$ is $\mathcal{O}_Y$-previlaged at x. But both conditions are defined by the injectivity of
$$H^0(V \cap Y, \mathcal{O}_Y) \cong H^0(V, \mathcal{O}_Y) \to \mathcal{O}_{Y,x}.$$
We conclude. □

Proposition 11.3. Let X be a complex analytic space and A be a real semi-analytic set in X. Let $\mathcal{F}$ be a coherent $\mathcal{O}_X$-module. Then any $x \in A$ admits a fundamental system of neighbourhoods in A which are $\mathcal{F}$-previlaged at x.

PROOF. Let $U, Y_1, \ldots, Y_m$ be as in Proposition 11.2. Let $\mathcal{B}$ be a fundamental system of neighbourhoods of x in A given by Proposition 8.4 in Topology and bornology.

We claim that for any $V \in \mathcal{B}$ contained in U, V is $\mathcal{F}$-previlaged at x. This claim finishes the proof. In fact, by Proposition 8.4 in Topology and bornology, V admits a fundamental system $\mathcal{B}_V$ of neighbourhoods in X such that for $W \in \mathcal{B}_V$, $W \cap Y_i$ is $\mathcal{O}_{Y_i}$-previlaged at x for $i = 1, \ldots, m$. By Proposition 11.2, W is $\mathcal{F}$-previlaged at x. But then V is clearly $\mathcal{F}$-previlaged at x as well. □

Proposition 11.4. Let X be a complex analytic space and A be a real semi-analytic Stein set in X. Let $\mathcal{F}$ be a coherent $\mathcal{O}_A$-module. Consider an increasing net $(\mathcal{F}_j)_{j\in J}$ of coherent $\mathcal{O}_A$-submodules of $\mathcal{F}$, then for any $x\in A$, there is a neighbourhood W of x in A such that $(\mathcal{F}_j|_W)_{j\in J}$ is eventually constant.

For us the meaning of Stein set is weaker than in [Fri67].

Proof. As $\mathcal{O}_{X,x}$ is noetherian, the net $(\mathcal{F}_{j,x})_{j\in J}$ is eventually constant. We may assume that it is actually constant. Take $j_0\in J$. Take an open neighbourhood W of x in A which is $\mathcal{F}/\mathcal{F}_{j_0}$-previlaged at x. The existence of W follows from Proposition 11.3.

We have a commutative diagram

$$\begin{array}{ccccccc} 0 & \longrightarrow & H^0(W,\mathcal{F}_{j_0}) & \longrightarrow & H^0(W,\mathcal{F}) & \longrightarrow & H^0(W,\mathcal{F}/\mathcal{F}_{j_0}) \\ & & \downarrow & & \downarrow & & \downarrow \\ 0 & \longrightarrow & \mathcal{F}_{j_0,x} & \longrightarrow & \mathcal{F}_x & \longrightarrow & (\mathcal{F}/\mathcal{F}_{j_0})_x \end{array}$$

with exact rows. We know that the last vertical map is injective. It follows that

$$H^0(W,\mathcal{F}_{j_0})=H^0(W,\mathcal{F}).$$

So for any $j\geq j_0$,

$$H^0(W,\mathcal{F}_{j_0})=H^0(W,\mathcal{F}_j).$$

So for any $b\in W$, $j\geq j_0$, we have

$$\mathcal{F}_{j,b}=H^0(A,\mathcal{F}_j)\cdot\mathcal{O}_{X,b}=H^0(W,\mathcal{F}_j)\cdot\mathcal{O}_{X,b}=H^0(A,\mathcal{F}_{j_0})\cdot\mathcal{O}_{X,b},$$

where the first equality follows from Theorem 6.2. That is $(\mathcal{F}_j|_W)_{j\in J}$ is eventually constant. □

Corollary 11.5. Let X be a complex analytic space and A be a real semi-analytic Stein set in X. Let $\mathcal{F}$ be a coherent $\mathcal{O}_A$-module. Consider a subset E of $H^0(A,\mathcal{F})$. The $\mathcal{O}_X$-submodule of $\mathcal{F}$ generated by E is coherent.

Proof. The result is clear when E is finite. In general, we can write E as the union of all finite subsets of E. We then apply Proposition 11.4. □

Theorem 11.6. Let X be a complex analytic space and A be a quasi-compact real semi-analytic Stein set in X. Then $H^0(A,\mathcal{O}_X)$ is noetherian.

Proof. Let I be an ideal of $H^0(A,\mathcal{O}_X)$. By Corollary 11.5, the ideal sheaf $\mathcal{I}$ on A generated by I is coherent. As A is quasi-compact, we can find a family of elements $f_1,\ldots,f_n$ in I such that for any $x\in A$, $\mathcal{I}_x$ is generated by $f_{1,x},\ldots,f_{n,x}$ as an $\mathcal{O}_{X,x}$-module. In other words, $\mathcal{O}_A^n\to\mathcal{I}$ defined by $f_1,\ldots,f_n$ is surjective. It follows that

$$H^0(A,\mathcal{O}_X)^n\to H^0(X,\mathcal{I})=I$$

defined by $f_1,\ldots,f_n$ is surjective. Namely, I is generated by $f_1,\ldots,f_n$ as an $H^0(A,\mathcal{O}_X)$-module. □

Lemma 11.7. Let X be a complex analytic space and A be a quasi-compact real semi-analytic Stein set in X. Consider the map

$$A\to\operatorname{Spm}H^0(A,\mathcal{O}_X)$$

sending $x\in A$ to the kernel $\mathfrak{n}_x$ of the evaluation map $H^0(A,\mathcal{O}_X)\to\mathbb{C}$ at x.

If $\mathcal{F}$ is a coherent $\mathcal{O}_A$-module, we have a natural isomorphism

$$H^0(A,\mathcal{F})\hat{}_{\mathfrak{n}_x} \xrightarrow{\sim} \hat{\mathcal{F}}_x.$$

PROOF. If suffices to observe that for each $n \in \mathbb{N}$, we have

$$H^0(A,\mathcal{F})/\mathfrak{n}_x^n H^0(A,\mathcal{F}) \xrightarrow{\sim} H^0(A,\mathcal{F}/\mathfrak{n}_x^n\mathcal{F}) \xrightarrow{\sim} \mathcal{F}/\mathfrak{n}_x^n\mathcal{F}.$$

□

Corollary 11.8. Let $f : X \to Y$ be a morphism of complex analytic spaces, $x \in X$ and $\mathcal{F}$ be a coherent $\mathcal{O}_X$-module. Let A be a quasi-compact real semi-analytic Stein set in A and B be a quasi-compact real semi-analytic Stein set in Y such that $f(A) \subseteq B$. Then the following are equivalent:

(1) $\mathcal{F}$ is f-flat at $x \in X$;
(2) $H^0(A,\mathcal{F})$ is flat at $\mathfrak{n}_x$ with respect to $H^0(B,\mathcal{O}_B) \to H^0(A,\mathcal{O}_A)$.

PROOF. By Theorem 11.6, $H^0(A,\mathcal{F})$, $H^0(B,\mathcal{O}_B)$ are both noetherian, so the morphisms

$$H^0(A,\mathcal{F})_{\mathfrak{n}_x} \to H^0(A,\mathcal{F})\hat{}_{\mathfrak{n}_x}, \quad H^0(B,\mathcal{O}_Y)_{\mathfrak{n}_y} \to H^0(B,\mathcal{O}_Y)\hat{}_{\mathfrak{n}_y}$$

are both faithfully flat by [Stacks, Tag 00MC], where $y = f(x)$. The assertion now follows from Lemma 11.7. □

Lemma 11.9. Let X be a complex analytic space. Then any $x \in X$ has a fundamental system of compact real semi-analytic Stein neighbourhoods.

PROOF. We may assume that $X = \mathbb{C}^n$ for some $n \in \mathbb{N}$. It then suffices to take polycylinders. □

Lemma 11.10. Let Y be a reduced complex analytic space, $n \in \mathbb{N}$ and $D \subseteq \mathbb{R}^n$ be an open subset. Set $X = Y \times D$ and $f : X \to Y$ denotes the projection. Let $\mathcal{F}$ be a coherent $\mathcal{O}_X$-module, $x = (y, z) \in X$. THen there is an open neighbourhood V of y in Y and a thin analytic set T in V such that $\mathcal{F}$ is f-flat at (y', z) for any $y' \notin V \setminus T$.

PROOF. Let L be a Stein real semi-analytic compact neighbourhood of y in Y. We know that $H^0(L,\mathcal{O}_L)$ is noetherian by Theorem 11.6. Consider the minimal prime ideals $\mathfrak{p}_1,\ldots,\mathfrak{p}_r$ of this ring. Let $Y_1,\ldots,Y_r$ be the analytic sets defined in a neighbourhood of L by these ideals. Discarding the overlaps $Y_i \cap Y_j$ for $i \neq j$, we may assume that $H^0(L,\mathcal{O}_L)$ is integral. Let $\mathcal{I} \subseteq \mathcal{O}_X$ be the ideal sheaf of $Y \times \{z\}$. Let $K = L \times \{z\}$. Then K is a compact real semi-analytic compact subset of X. Let $I = H^0(K,\mathcal{I})$, $B = H^0(K,\mathcal{O}_K)$ and $M = H^0(K,\mathcal{F})$. As the comopsition

$$H^0(L,\mathcal{O}_L) \to H^0(K,\mathcal{O}_X) \to H^0(K,\mathcal{O}_X)/H^0(K,\mathcal{I})$$

is an isomorphism, by Lemma 8.3 in Commutative algebras, we can find a non-zero element $h \in H^0(L,\mathcal{O}_L)$ such that M_h is A-flat in all primes of $V(I_h)$.

Now consider the analytic set T defined in a neighbourhood of L by h. Then for $y' \in L \setminus T$, $\mathcal{F}$ is f-flat at (y', z) by Corollary 11.8. □

Theorem 11.11. Let $f : X \to Y$ be a morphism of complex analytic spaces and $\mathcal{F}$ be a coherent $\mathcal{O}_X$-module, then

$$\{x \in X : \mathcal{F} \text{ is } f\text{-flat at } x\}$$

is co-analytic in X.

This theorem was first proved by Frisch in [Fri67]. Here we are following the simplified proof of Kiehl [Kie67].

Proof. The problem is local on X. We may assume that X is Hausdorff. Fix $x \in X$ and $y = f(x)$. We show that the non-flat locus of $\mathcal{F}$ is analytic at x.

The problem is local on X, we may assume that $X = Y \times \mathbb{C}^n$ for some $n \in \mathbb{N}$. Let B be a semi-analytic Stein neighbourhood of y in Y, whose existence is guaranteed by Lemma 11.9. Take $A = B \times \Delta^n \subseteq X$. Write $D = A \times_B A \subseteq X \times_Y X$.

Consider the commutative diagram:

$$\begin{array}{ccc} X \times_Y X & \xrightarrow{p_1} & X \\ \big\downarrow{\scriptstyle p_2} & \square & \big\downarrow{\scriptstyle f} \\ X & \xrightarrow{f} & Y \end{array} .$$

Let $\tilde{F}' = p_1^* \mathcal{F}$. By Proposition 5.2 in Morphisms between complex analytic spaces, the non-flat locus of $\mathcal{F}$ is the pull-back of the non-flat locus of $\mathcal{F}'$ with respect to the diagonal morphism. It suffices to prove that the intersection of $\Delta_{X/Y}(X)$ with the non-flat locus of $\mathcal{F}'$ is analytic in $X \times_Y X$. Let $\mathcal{J}$ be the ideal of the diagonal $\Delta_{X/Y} : X \to X \times_Y X$ of $X \times_Y X$ and $J = H^0(D, \mathcal{J})$. We apply Lemma 8.3 in Commutative algebras. It follows that there is an ideal I in $H^0(D, \mathcal{O}_D)$ such that

$$\begin{aligned} \operatorname{Spec}(D/I) \cap \operatorname{Spec}(D/J) = \big\{ & \mathfrak{m} \in \operatorname{Spec}(D/J) : H^0(D, \mathcal{F}') \text{ is not flat at } \mathfrak{m} \\ & \text{with respect to } H^0(A, \mathcal{O}_A) \to H^0(D, \mathcal{O}_D) \big\} . \end{aligned}$$

But by Corollary 11.8,

$$\left\{ x \in \Delta_{X/Y}(B) : \mathcal{F}' \text{ is not } p_2\text{-flat at } x \right\} = \left\{ x \in \Delta_{X/Y}(B) : \mathfrak{n}_x \supseteq I \right\} .$$

The right-hand side is analytic at x since I is finitely generated by Theorem 11.6. We conclude. □

Lemma 11.12. Let $f : X \to Y$ be a morphism of complex analytic spaces. Suppose that Y is reduced and X has a countable basis. Then the following are equivalent:

(1) $f(X)$ is negligible in Y;
(2) f admits no sections on an open subset V of Y.

Here we say a subset of Y is *negligible* if its intersection with Y^{reg} is an at most countable union of connected locally closed submanifolds with empty interior.

Proof. The problem is local on Y. We may assume that Y is a complex model space. Then we reduce to the case where Y is a complex manifold. We may also assume that X is reduced. Then X is a locally finite union of locally closed complex manifolds such that $f|_{X_i}$ has constant rank. So we may assume that $f : X \to Y$ is a morphism of connected complex manifolds of constant rank. Therefore, $f(X)$ is a submanifold of Y and f is a submersion onto $f(X)$. In this case, $f(X)$ is negligible if and only if its interior is empty. In other words, f is nowhere a submersion. The assertion follows. □

Theorem 11.13 (Generic flatness). Let $f : X \to Y$ be a morphism of complex analytic spaces and $\mathcal{F}$ be a coherent $\mathcal{O}_X$-module. Assume that Y is reduced and X has countable basis. Then the image of the non-flat locus in Y is negligible.

Proof. The problem is local on X and Y thanks to the assumption that X has a countable basis. As in the proof of Theorem 11.11, we may assume that $X = Y \times D$, where D is a domain in $\mathbb{C}^n$ for some $n \in \mathbb{N}$ and $f : X \to Y$ is the projection. Let Z be the non-flat locus of $\mathcal{F}$ with respect to f.

By Lemma 11.12, it suffices to verifty that for any open subset $V \subseteq Y$ and any morphism $g : V \to D$, the graph of φ is not contained in Z. Let D' be the image of

$$V \times D \to \mathbb{C}^n, \quad (y, z) \mapsto z - g(y).$$

Then the morphism $V \times D \to V \times D'$ sending (y, z) to $(y, z - h(y))$ transforms the graph of g into $V \times \{0\}$. We are reduced to the standard situation in Lemma 11.10. □

12. Grauert's proper image theorem

In the proof, an open Stein neighbourhood refers to an open neighbourhood which is a Stein space. Namely, we require the paracompactness.

Theorem 12.1 (Grauert). Let $f : X \to Y$ be a morphism of complex analytic spaces and $\mathcal{F}$ be a coherent $\mathcal{O}_X$-module, then $R^i f_* \mathcal{F}$ is coherent for $i \in \mathbb{Z}_{\geq 0}$.

Consider to reformulate the proof using hypercoverings

Proof. The problem is local on Y, so we may assume that Y is a complex model space. Then we reduce immediately to the case where Y is an open subset of $\mathbb{C}^N$ for some $N \in \mathbb{N}$.

Step 1. We construct a free resolution.

Let $y_0 \in Y$, we can find an open Stein neighbourhood V_* of y_0 in Y and finitely many relative charts $U_k \to \Delta^{n_k} \times V_*$ with $n_k \in \mathbb{N}$ for $k = 0, \ldots, k_*$ so that

$$f^{-1}(V_*) = \bigcup_{k=0}^{k_*} U_k.$$

For each $r \in (0, 1]$ and open subset $V \subseteq V_*$, we write $U_k(r, V)$ for the inverse image of $\Delta^{n_k}(r) \times V$ in U_k for $k = 0, \ldots, k_*$. We let $\mathcal{U}(r, V) = \{U_k(r, V)\}_{k=0,\ldots,k_*}$. Take $r_* \in (0, 1)$ so that

$$f^{-1}(V) = \bigcup_{k=0}^{k_*} U_k(r, V)$$

for all $r \in [r_*, 1]$. When V is Stein, so are $U_1(r, V), \ldots, U_{k_*}(r, V)$, so $\mathcal{U}(r, V)$ is a Stein covering of $f^{-1}(V)$ for $r \in [r_*, 1]$. It follows that

$$H^q(f^{-1}(V), \mathcal{F}) \cong \check{H}^q(\mathcal{U}(r, V), \mathcal{F})$$

for all $q \in \mathbb{Z}_{\geq 0}$ by [Stacks, Tag 03OW].

For each $n \in \mathbb{N}$, we write

$$D_n := \left\{ (k_0, \ldots, k_n) \in \mathbb{Z}_{\geq 0}^{n+1} : k_0 < k_1 < \cdots < k_n \leq k_* \right\}$$

and

$$D = \bigcup_{n=0}^{\infty} D_n.$$

We introduce a partial order on D: for $\alpha = (\alpha_0, \ldots, \alpha_n) \in D$, $\beta = (\beta_0, \ldots, \beta_m) \in D$, we write $\alpha \subseteq \beta$ if $\{\alpha_0, \ldots, \alpha_n\} \subseteq \{\beta_0, \ldots, \beta_m\}$.

For $\alpha=(\alpha_0,\ldots,\alpha_n)\in D$, $r\in[r_*,1]$ and V an open Stein subset of V, we write

$$U_\alpha(r,V):=\bigcup_{j=0}^{n}U_{\alpha_j}(r,V),\quad \Delta^\alpha(r)=\prod_{j=0}^{n}\Delta^{\alpha_j}(r).$$

Clearly, we have a morphism

$$U_\alpha(r,V)\to\Delta^\alpha(r)\times V.$$

If $\alpha,\beta\in D$ and $\alpha\subseteq\beta$, we write

$$\pi_{\alpha\beta}:\Delta^\beta(r)\times V\to\Delta^\alpha(r)\times V$$

for the canonical projection.

Consider the Abelian category $\mathcal{A}(r,V)$ consisting of coherent $\mathcal{O}_{\Delta^\alpha(r)\times V}$-modules $\mathcal{G}_\alpha$ for all $\alpha\in D$ and compatible transition morphisms $\varphi_{\beta\alpha}:\mathcal{G}_\alpha\to\pi_{\alpha\beta*}\mathcal{G}_\beta$ whenever $\alpha,\beta\in D$ with $\alpha\subseteq\beta$. We will omit $\varphi_{\beta\alpha}$ from our notations if there is no risk of confusion.

Observe that we have an obvious element $j_*\mathcal{F}\in\mathcal{A}(r,V)$ associated with $\mathcal{F}$ whose components are just the pushforwards of the restrictions of $\mathcal{F}$.

An object $\mathcal{G}=(\mathcal{G}_\alpha)_{\alpha\in D}\in\mathcal{A}(r,V)$ is free if each $\mathcal{G}_\alpha$ is free of finite rank for all $\alpha\in D$.

Given such an object $\mathcal{G}=(\mathcal{G}_\alpha)_{\alpha\in D}\in\mathcal{A}(r,V)$ and $n\in\mathbb{N}$, we define

$$\check{C}^n(r,V,\mathcal{G}):=\prod_{\alpha\in D_n}H^0(\Delta^\alpha(r)\times V,\mathcal{G}_\alpha),$$

which is an $H^0(V,\mathcal{O}_Y)$-module. We have an obvious differential

$$\delta:\check{C}^n(r,V,\mathcal{G})\to\check{C}^{n+1}(r,V,\mathcal{G})$$

sending $(\xi_\alpha)_{\alpha\in D_n}$ to $\delta\xi$ with

$$(\delta\xi)_\beta=\sum_{i=0}^{n+1}(-1)^i\varphi_{\beta\beta_i}(\xi_{\beta_i}).$$

Suppose that we are given $\mathcal{G}=(\mathcal{G}_\alpha,\varphi_{\beta\alpha})\in\mathcal{A}(r,V)$ and $\epsilon_\alpha:S_\alpha\to\mathcal{G}_\alpha$ for each $\alpha\in D$, where S_α is a free $\mathcal{O}_{\Delta^\alpha(r)\times V}$-module of finite rank. Then we claim that there is a free system $\mathcal{R}=(\mathcal{R}_\alpha,\psi_{\beta\alpha})\in\mathcal{A}(r,V)$ and a morphism $\theta:\mathcal{R}\to\mathcal{G}$ so that

$$\operatorname{Im}\theta_\alpha\supseteq\operatorname{Im}\epsilon_\alpha$$

for all $\alpha\in\Delta$.

To prove this claim, for each $\gamma\in D$, we define $\mathcal{R}^\gamma=(\mathcal{R}^\gamma_\alpha,\varphi^\gamma_{\beta\alpha})\in\mathcal{A}(r,V)$ as follows:

$$\mathcal{R}^\gamma_\alpha=\{0,\quad\text{if }\gamma\not\subseteq\alpha;\pi^*_{\gamma\alpha}\mathcal{S}_\gamma,\qquad\text{otherwise.}$$

We have an obvious morphism $\mathcal{R}^\gamma\to\mathcal{G}$. We define $\mathcal{R}$ as the componentwise direct sum of $\mathcal{R}^\gamma$ for all $\gamma\in\Delta$. Then the natural morphism $\mathcal{R}\to\mathcal{G}$ satisfies our requirements.

As a consequence, for any relative compact Stein open subset $V'\subseteq V_*$ and $r'\in[r_*,1)$, we can find a free resolution of $j_*\mathcal{F}$ in $\mathcal{A}(r',V')$.

Take $r_{**}\in(r_*,1)$. After possibly shrinking V_*, we may assume that we have a free resolution of $j_*\mathcal{F}$ in $\mathcal{A}(r_{**},V_*)$:

$$\cdots\to\mathcal{R}^2\to\mathcal{R}^1\to\mathcal{R}^0\to j_*\mathcal{F}\to0.$$

For any open subset $V \subseteq V_*$, $r \in [r_*, r_* *]$, we consider the double complex $(\check{C}^l(r, V; \mathcal{R}^k))_{l,k}$. Let $\check{C}^\bullet(r, V)$ be the associated complex. For each $n \in \mathbb{N}$, we regard $V \mapsto \check{C}^n(r, V)$ as an $\mathcal{O}_{V^*}$-module, which is denoted by $\check{C}^n(r)$. Observe that $\check{C}^n(r) = 0$ if $n > k_*$. We have a natural morphism of complexes

$$\check{C}(r) \to \check{C}(r, j_*\mathcal{F}).$$

We claim that this morphism is a quasi-isomorphism. To see this, let V be a Stein open subset of V_*, we need to show that

$$\check{C}(r, V) \to \check{C}(r, V, j_*\mathcal{F})$$

is an isomorphism. This follows immediately from Cartan's Theorem B.

In particular,

$$(R^q f_* \mathcal{F})|_{V_*} \cong H^q(\check{C}(r))$$

for all $q \in \mathbb{N}$.

Step 2. The induction scheme.

We take r_*, r_{**}, V_* as in Step 1. Fix $r \in [r_*, r_{**}]$. Fix a compact subset Q_* of V_*.

For any $n \in \mathbb{Z}$, $n \in [-1, k_*]$, consider the assertion $A(n)$: there is a Stein open subset V_n of V_* such that $Q_* \subseteq V_n$ and a number $r_n \in (r_*, r_{**}]$, a complex $\mathcal{L}^\bullet$ of free $\mathcal{O}_{U_n}$-modules of finite rank whose non-zero terms are in degree $[n, k_*]$, and an n-quasi-isomorphism of complexes $\sigma : \mathcal{L}^\bullet \to \check{C}(r_n)$.

We will by abuse of languages, denote the composition $\mathcal{L}^\bullet \to \check{C}(r_n) \to \check{C}(r)$ by σ as well for any $r \in [r_*, r_n]$. Clearly, this does not affect the validity of $A(n)$.

Write $K^\bullet(r)$ for the mapping cone of $\mathcal{L}^\bullet \to \check{C}(r)$. For each open subset $V \subseteq V_n$, we write $K^m(r, V) = H^0(V, K^m(r))$. We write $Z^{n-1}(r)$ and $Z^{n-1}(r, V)$ for the kernels of $K^{n-1}(r) \to K^n(r)$ and $K^{n-1}(r, V) \to K^n(r, V)$ respectively.

We consider the assertion $B(n-1)$: under the hypothesis of $A(n)$, for any Stein open set $V' \Subset V_n$ and any pair of real numbers $r < r'$, $r, r' \in [r_*, r_n]$, there is a continuous morphism of $\mathcal{O}_{V'}$-modules $\tau : K^{n-1}(r) \to Z^{n-1}(r')$ such that the following diagram commutes:

$$\begin{array}{ccc} K^{n-1}(r) & \xrightarrow{\tau} & Z^{n-1}(r') \\ & \searrow & \uparrow \\ & & Z^{n-1}(r) \end{array}.$$

We will prove $A(n) + B(n) \implies B(n-1)$ and $A(n) + B(n-1) \implies A(n-1)$ in Step 3.

Here we make some preparations.

Let V be an open subset of V_* and $g \in H^0(\Delta^m(r) \times V, \mathcal{O}_{\Delta^m(r) \times V})$. We expand

$$g = \sum_{\alpha \in \mathbb{N}^m} a_\alpha z^\alpha, \quad a_\alpha \in H^0(V, \mathcal{O}_V).$$

For each compact subset $Q \subseteq V$ and $\rho \in (0, r)$, we write

$$\|g\|_{\rho Q} := \sum_{\alpha \in \mathbb{N}^m} \|a_\alpha\|_{L^\infty(Q)} \rho^{|\alpha|}.$$

The families $\|\bullet\|_{\rho Q}$ for various ρ and Q defines the Fréchet topology on $H^0(\Delta^m(r) \times V, \mathcal{O}_{\Delta^m(r)\times V})$. When $\rho = r$ and $Q = V$, the same deifnition applies, and we get a semi-norm.

Observe that if $0 < r' < r'' < r$, then for any $g \in H^0(\Delta^m(r) \times V, \mathcal{O}_{\Delta^m(r)\times V})$, we can uniquely expand it as

$$g = \sum_{\alpha \in \mathbb{N}^m} a_\alpha (z/r'')^\alpha$$

with $\|a_\alpha\|_{L^\infty(Q)} \leq \|g\|_{r''Q}$ for any compact subset $Q \subseteq V$. Moreover, $\sum_{\alpha\in\mathbb{N}} \|(t/r'')^\alpha\|_{r'V} < \infty$.

Consider a finite number of disks $\Delta^{k_1}(r), \ldots, \Delta^{k_m}(r)$, we write

$$K(r, V) := \prod_{j=1}^{m} H^0(\Delta^{k_j}(r) \times V, \mathcal{O}_{\Delta^{k_j}(r)\times V}).$$

For $f = (f_j) \in K(r, V)$, we let

$$\|f\|_{\rho Q} := \max_{j=1,\ldots,m} \|f_j\|_{\rho Q}$$

for each $\rho \in (0, r)$ and a compact set $Q \subseteq V$. We then conclude the following: if $0 < r' < r'' < r$. Then there is a countable family $(e_i)_{i\in I}$ with the following properties: for any open subset $V' \subseteq V$, any $f \in K(r, V')$ can be uniquely expanded into

$$f = \sum_{i\in I} a_i e_i$$

with $a_i \in H^0(V', \mathcal{O}_V)$ and $\|a_i\|_{L^\infty(Q)} \leq \|f\|_{r''Q}$ for any compact set $Q \subseteq V'$. Moreover,

$$\sum_{i\in I} \|e_i\|_{r'V} < \infty.$$

We consider another assertion $C(n)$ again under the assumption of $A(n)$: For any Stein open $V' \Subset V_{n+1}$ and any pair $r, r' \in [r_*, r_{n+1}]$ with $r' < r$, there is a continuous $\mathcal{O}_{V'}$-module $\tau : K^n(r) \to Z^n(r')$ such that the following diagram commutes:

$$\begin{array}{ccc} K^{n-1}(r) & \xrightarrow{\tau} & Z^{n-1}(r') \\ & \searrow & \uparrow \\ & & Z^{n-1}(r) \end{array}$$

and there is acountable family $(e_i)_{i\in I}$ of elements in $K^n(r, V')$ and $\tilde{r} \in (r', r)$ such that

(1) for any open subset $V'' \subseteq V'$, any $r \in K^n(r, V'')$ can be uniquely expanded into

$$f = \sum_{i\in I} a_i e_i$$

with $a_i \in H^0(V'', \mathcal{O}_{V'})$ and $\|a_i\|_Q \leq \|f\|_{\tilde{r}Q}$ for any compact set $Q \subseteq V''$;

(2)

$$\sum_{i\in I} \|\tau e_i\|_{r'V'} < \infty.$$

We observe that $A(n+1)+B(n) \implies C(n)$. In fact, choose a Stein open $\tilde{V}$ so that $V' \Subset \tilde{V} \Subset V_{n+1}$ and real numbers $\tilde{r}, \rho, \rho'$ so that $r' < \rho' < \rho < \tilde{r} < r$. By B(n), we find $\tilde{\tau} : K^n(\rho) \to Z^n(\rho')$ over $\tilde{V}$. Consider the commutative diagram

$$\begin{array}{ccccccc} K^n(r) & \longrightarrow & K^n(\rho) & \xrightarrow{\tilde{\tau}} & Z^n(\rho') & \longrightarrow & Z^n(r') \\ \uparrow & & \uparrow & \nearrow & & & \\ Z^n(r) & \longrightarrow & Z^n(\rho) & & & & \end{array}.$$

We claim that $\tau : K^n(r) \to Z^n(r')$ has the required properties. W ehave already shown the first condition. The second condition follows from the fact that $\tilde{\tau}$ is bounded.

Step 3. We prove the induction steps.

Step 3.1. We show that $A(n)+B(n) \implies B(n-1)$.

Let $r' < r$ be real numbers in $[r_*, r_n]$. Let V' be a realtive compact Stein open subset of V_n. Choose a real number $r'' \in (r', r)$ and a Stein open set V'' such that

$$V' \Subset V'' \Subset V_n.$$

Let $\tau : K^n(r) \to Z^n(r'')$ and $(e_i \in K^n(r, V''))_{i\in I}$ be obtained by $C(n)$. We have

$$\sum_{i\in I} \|\tau e_i\|_{r''V''} < \infty.$$

By $A(n)$, the map $\delta : K^{n-1}(r'', V'') \to Z^n(r'', V'')$ is continuous and surjective and hence open by Banach's open mapping theorem. We can find $M > 0$ and $\xi_i \in K^{n-1}(r'', V'')$ with $\delta\xi_i = \tau e_i$ and $\|\xi_i\|_{r'V'} \le M\|\tau e_i\|_{r''V''}$. We find that

$$\sum_{i\in I} \|\xi_i\|_{r'V'} < \infty.$$

We have a continuous $\mathcal{O}_{V'}$-morphism

$$h : K^n(r) \to K^{n-1}(r'), \quad \sum_{i\in I} a_i e_i \mapsto \sum_{i\in I} a_i \xi_i$$

making the following diagram commutative:

$$\begin{array}{ccc} K^n(r) & \longleftarrow & Z^n(r) \\ \downarrow h & & \downarrow \\ K^{n-1}(r') & \xrightarrow{\delta} & Z^n(r') \end{array}.$$

Now $\tau := \beta - h\delta : K^{n-1}(r) \to Z^{n-1}(r')$ satisfies $B(n-1)$, where $\beta : K^{n-1}(r) \to K^{n-1}(r')$ is the composition of h with $K^{n-1}(r) \to K^n(r)$.

Step 3.2, We show that $A(n)+B(n-1) \implies A(n-1)$.

Let V_{n-1} be a Stein open subset of V_* so that

$$Q_* \subseteq V_{n-1} \Subset V_n.$$

Let $r_{n-1} \in (r_*, r_n)$. By $A(n)$, for any $\rho \in [r_{n-1}, r_n]$, we have a commutative diagram

$$\begin{array}{ccccccccc} & & & & \mathcal{L}^n & \xrightarrow{\alpha^n} & \mathcal{L}^{n+1} & \longrightarrow & \cdots \\ & & & & \downarrow \sigma^n & & \downarrow & & \\ \cdots & \longrightarrow & \check{C}^{n-1}(\rho) & \longrightarrow & \check{C}^n(\rho) & \longrightarrow & \check{C}^{n+1}(\rho) & \longrightarrow & \cdots \end{array}.$$

For each Stein open set $V \subseteq V_n$, we have an epimorphism $H^0(V, \ker\alpha^n) \to H^n(\check{C}(\rho, V))$. Over V_{n-1}, we need to find a free sheaf of finite rank $\mathcal{L}^{n-1}$ and morphisms $\alpha^{n-1} : \mathcal{L}^{n-1} \to \mathcal{L}^n$ and $\sigma^{n-1} : \mathcal{L}^{n-1} \to \check{C}^{n-1}(r_{n-1})$ so that

(1) $\alpha^n\alpha^{n-1} = 0$, $\sigma^n\alpha^{n-1} = \delta\sigma^{n-1}$;

(2) for any Stein open $V \subseteq V_{n-1}$, the induced morphism

$$H^0(V, \ker\alpha^n / \operatorname{Im}\alpha^{n-1}) \to H^n(\check{C}(r_{n-1}, V))$$

is an isomorphism and

$$H^0(C, \ker\alpha^{n-1}) \to H^{n-1}(\check{C}(r_{n-1}, V))$$

is an epimorphism.

It is sufficient to construct $\mathcal{L}^{n-1}$ and a morphism $\mathcal{L}^{n-1} \to Z^{n-1}(r_{n-1})$ such that for each Stein open subset $V \subsetneq V_{n-1}$, the sum of the image of ω and the image of $\delta : \check{C}(r_{n-1}, V) \to \check{Z}(r_{n-1}, V)$ is $\check{Z}(r_{n-1}, V)$.

Let $r' \in (r_{n-1}, r_n)$. For any Stein open $V \subseteq V_n$, the restriction $\check{C}(r_n, V) \to \check{C}(r', V)$ is a quasi-isomorphism. Therefore, the sum of the images of $\check{Z}^{n-1}(r_n, V) \to \check{Z}^{n-1}(r', V)$ and $\check{C}^{n-1}(r', V) \to \check{Z}^{n-1}(r', V)$ is $\check{Z}^{n-1}(r', V)$.

Consider a Stein open set V' of V_* so that

$$V_{n-1} \Subset V' \Subset V_n$$

and $r \in (r', r_n)$. By $C(n-1)$, we find a projection $\tau : K^{n-1}(r) \to Z^{n-1}(r')$ over V', a family $(e_i)_{i\in I}$ of elements in $K^{n-1}(r, V')$ and a real number $\tilde{r} \in (r', r)$ such that $C(n-1)(1)$ holds and

$$\sum_{i\in I} \|\tau e_i\|_{r'V'} < \infty.$$

As

$$\operatorname{Im}(K^{n-1}(r_n) \xrightarrow{\beta} K^{n-1}(r) \xrightarrow{\tau} Z^{n-1}(r)) \supseteq \operatorname{Im}(Z^{n-1}(r_n) \xrightarrow{Z}{}^{n-1} (r')),$$

it follows that the sum of the images of $K^{n-1}(r_n, V') \xrightarrow{\tau\beta} \check{Z}^{n-1}(r', V')$ and $\check{C}^{n-2}(r', V') \to \check{Z}^{n-1}(r', V')$ is $\check{Z}^{n-1}(r', V')$. By open mapping theorem, we cna find $M > 0$, $\xi_i \in K^{n-1}(r_n, V')$ and $\eta_i \in \check{C}^{n-2}(r', V')$ so that

$$\tau\xi_i + \partial\eta_i = \tau e_i$$

and

$$\max\left\{\|\xi_i\|_{rV_{n-1}}, \|\eta_i\|_{r_{n-1}V_{n-1}}\right\} \leq M\|\tau e_i\|_{r'V'}$$

for each $i \in I$. It follows that

$$\sum_{i\in I} \|\xi_i\|_{rV_{n-1}} < \infty$$

and

$$\sum_{i\in I} \|\eta_i\|_{r_{n-1}V_{n-1}} =: M_1 < \infty.$$

Take a finite subset $J \subseteq I$ such that

$$\sum_{i\in I\setminus J} \|\eta_i\|_{r_{n-1}V_{n-1}} < 1/2.$$

We define $\mathcal{L}^{n-1} = \mathcal{O}^J_{V_{n-1}}$ and $\omega : \mathcal{L}^{n-1} \to \check{Z}^{n-1}(r_{n-1})$ is the morphism sending the canonical generators $(g_j)_{j\in J}$ of $\mathcal{L}^{n-1}$ to $(\beta'\tau\beta\xi_j)_{j\in J}$, where $\beta' : \check{Z}^{n-1}(r') \to \check{Z}^{n-1}(r_{n-1})$ is the restriction map.

We need to verify that the map ω satisfies our required properties.

We first show the following: for any open set $V \subseteq V_{n-1}$ and any element $f \in K^{n-1}(r, V)$, there are elements $f_1 \in K^{n-1}(r, V)$, $g \in H^0(V, \mathcal{L}^{n-1})$ and $\eta \in \check{C}^{n-1}(r_{n-1}, V)$ such that

$$\beta'\tau(f) = \omega(g) + \delta\eta + \beta'\tau(f_1)$$

and

$$\|f_1\|_{rQ} \leq 2^{-1}\|f\|_{\tilde{r}Q}, \quad \|g\|_Q \leq \|f\|_{\tilde{r}Q}, \quad \|\eta\|_{r_{n-1}Q} \leq M_1\|f\|_{\tilde{r}Q}$$

for any compact subset $Q \subseteq V$.

In fact, expand f as

$$f = \sum_{i \in I} a_i e_i$$

with $a_i \in H^0(V m \mathcal{O}_V)$ and $\|a_1\|_Q \leq \|f\|_{\tilde{r}Q}$ for any compact subset $Q \subseteq V$. We let $f_1 = \sum_{i \in I \setminus J} a_i \xi_i$, $g = \sum_{i \in J} a_i g_i$ and $\eta = \sum_{i \in I} a_i \eta_i$, then

$$\|f_1\|_{rQ} \leq \sum_{i \in I \setminus J} \|a_i\|_Q \cdot \|\xi_i\|_{rQ} \leq \|f\|_{\tilde{r}Q} \sum_{i \in I \setminus J} \|\xi_i\|_{rQ} \leq 2^{-1}\|f\|_{\tilde{r}Q}$$

and

$$\|g\|_Q = \max_{i \in J} \|a_i\|_Q \leq \|f\|_{\tilde{r}Q}, \quad \|\eta\|_{r_{n-1}Q} \leq \sum_{i \in I} \|a_i\|_Q \cdot \|\eta_i\|_{r_{n-1}Q} \leq M_1\|f\|_{\tilde{r}Q}.$$

Our claim follows.

Finally, let us vefity that ω satisfies the desired properties. Let V be a Stein open subset of V_{n-1} and $f \in K^{n-1}(r, V)$. By iterating the claim, we find $g \in H^0(V, \mathcal{L}^{n-1})$ and $\eta \in \check{C}^{n-2}(r_{n-1}, V)$ so that

$$\beta'\tau(f) = \omega(g) + \partial\eta.$$

As $\check{C}(r, V) \to \check{C}(r_{n-1}, V)$ is a quasi-isomorphism, we find that

$$\check{Z}^{n-1}(r, V) \oplus \check{C}^{n-2}(r_{n-1}, V) \to \check{Z}^{n-1}(r_{n-1}, V)$$

is surjective. It follows that

$$H^0(V, \mathcal{L}^{n-1}) \oplus \check{C}^{n-1}(r_{n-1}, V) \xrightarrow{\omega \oplus \delta} \check{Z}^{n-1}(r_{n-1}, V)$$

is surjective. So $A(n-1)$ holds.

Step 4. From $A(-1)$, we have a complex of locally free $\mathcal{O}_V$-modules for some open neighbourhood V of y_0 in Y and a complex

$$0 \to \mathcal{L}^{-1} \to \mathcal{L}^0 \to \cdots \to \mathcal{L}^{k_*} \to 0$$

such that

$$H^q(\mathcal{L}^\bullet) \cong (R^q f_* \mathcal{F})|_V$$

for each $q \in \mathbb{N}$. It follows that $R^q f_* \mathcal{F}$ is coherent. □

Corollary 12.2 (Cartan–Serre)**.** Let X be a compact complex analytic space and $\mathcal{F}$ be a coherent $\mathcal{O}_X$-module. Then $\dim_{\mathbb{C}} H^n(X, \mathcal{F}) < \infty$ for each $n \in \mathbb{N}$.

Proof. This follows immediately from Theorem 12.1 with $Y = \mathbb{C}^0$. □

Corollary 12.3. Let $f : X \to Y$ be a proper morphism. Assume that Z is an analytic set in X, then $f(Z)$ is an analytic set in Y.

Proof. We may assume that $Z = X$. Then $f(X) = \operatorname{Supp} f_*\mathcal{O}_X$. But $f_*\mathcal{O}_X$ is coherent by Theorem 12.1, so $f(X)$ is an analytic set in Y. □

Corollary 12.4 (Generic flatness)**.** Let $f : X \to Y$ be a proper morphism of complex analytic space and $\mathcal{F}$ be a coherent $\mathcal{O}_X$-module. Assume that Y is reduced. Then the image of the non-flat locus of $\mathcal{F}$ in Y is a nowhere dense analytic subset.

Proof. The problem is local on Y, we may assume that Y is a complex model space. In particular, Y has countable basis. After further shrinking Y, we may assume that X is covered by finitely many relative charts. In particular, X has countable basis. The image of the flat locus of $\mathcal{F}$ in Y is an analytic set by Corollary 12.3 and Theorem 11.11. It is nowhere dense by Theorem 11.13 and the fact that Y is a Baire space. □

Bibliography

[Fis76] G. Fischer. Complex analytic geometry. Lecture Notes in Mathematics, Vol. 538. Springer-Verlag, Berlin-New York, 1976, pp. vii+201.

[Fri67] J. Frisch. Points de platitude d'un morphisme d'espaces analytiques complexes. *Invent. Math.* 4 (1967), pp. 118–138. URL: https://doi.org/10.1007/BF01425245.

[GR77] H. Grauert and R. Remmert. Theorie der Steinschen Räume. Vol. 227. Grundlehren der Mathematischen Wissenschaften [Fundamental Principles of Mathematical Sciences]. Springer-Verlag, Berlin-New York, 1977, pp. xx+249.

[Gra55] H. Grauert. Charakterisierung der holomorph vollständigen komplexen Räume. *Math. Ann.* 129 (1955), pp. 233–259. URL: https://doi.org/10.1007/BF01362369.

[Gra60] H. Grauert. Ein Theorem der analytischen Garbentheorie und die Modulräume komplexer Strukturen. *Inst. Hautes Études Sci. Publ. Math.* 5 (1960), p. 64. URL: http://www.numdam.org/item?id=PMIHES_1960__5__64_0.

[Jur59] M. Jurchescu. On a theorem of Stoilow. *Math. Ann.* 138 (1959), pp. 332–334. URL: https://doi.org/10.1007/BF01344153.

[Kie67] R. Kiehl. Note zu der Arbeit von J. Frisch: "Points de platitude d'un morphisme d'espaces analytiques complexes". *Invent. Math.* 4 (1967), pp. 139–141. URL: https://doi.org/10.1007/BF01425246.

[Nar62] R. Narasimhan. A note on Stein spaces and their normalisations. *Ann. Scuola Norm. Sup. Pisa Cl. Sci. (3)* 16 (1962), pp. 327–333.

[Stacks] T. Stacks Project Authors. Stacks Project. http://stacks.math.columbia.edu. 2020.